Constitutively active endothelial Notch4 causes lung arteriovenous shunts in mice

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Miniati D, Jelin EB, Ng J, Wu J, Carlson TR, Wu X, Looney MR, Wang RA. Constitutively active endothelial Notch4 causes lung arteriovenous shunts in mice. Am J Physiol Lung Cell Mol Physiol 298: L169–L177, 2010. First published November 20, 2009; doi:10.1152/ajplung.00188.2009.—Lung arteriovenous (AV) shunts or malformations cause significant morbidity and mortality in several distinct clinical syndromes. For most patients with lung AV shunts, there is still no optimal treatment. The underlying molecular and cellular etiology for lung AV shunts remains elusive, and currently described animal models have insufficiently addressed this problem. Using a tetracycline-repressible system, we expressed constitutively active Notch4 (Notch4*) specifically in the endothelium of adult mice. More than 90% of mice developed lung hemorrhages and respiratory insufficiency and died by 6–7 wk after gene expression began. Vascular casting and fluorescent microsphere analysis showed evidence of lung AV shunts in affected mice. Cesation of Notch4* expression reversed these pathophysiological effects. Assessment of the vascular morphology revealed enlarged, tortuous vessels in the lungs that resembled arteriovenous malformations. By using whole lung organ culture, we demonstrated the effects of constitutively active Notch4 on the lung vasculature to be a primary lung phenomenon. Together, our results indicate the importance of Notch signaling in maintaining the lung vasculature and offer a new, reliable model with which to study the pathobiology of lung arteriovenous shunts and malformations.

vascular; pulmonary; endothelium; Notch

LUNG ARTERIOVENOUS (AV) shunting and malformations (AVMs) can occur in a variety of clinical settings, including hepatopulmonary syndrome (32), congenital heart disease (resulting from cavo pulmonary anastomosis) (2, 38), hereditary hemorrhagic telangiectasia (HHT) (8), and as isolated lesions (11). Approximately one-third of patients with HHT (incidence 1 in 10,000) (8) or cirrhosis (incidence 5% of the general population) (12, 34) have been estimated to harbor lung AV shunts or malformations. In addition to pulmonary symptoms such as hypoxia, fatigue, hemoptysis, and hemothorax, patients with lung AV shunts also experience significant central nervous system events such as cerebral abscess formation, stroke, transient ischemic attack, cerebral hemorrhage, and chronic migraines (7). Treatment of lung AV shunts depends on the specific clinical syndrome and may include embolization (6, 17), surgical resection (7), redirection of hepatic-vein flow (35), and liver transplantation (19). Since none of these therapies is both definitive and readily available for most patients, no optimal treatment for lung AV shunts currently exists. Lung AV shunts therefore remain a vexing clinical problem.

Part of the challenge in understanding the biology of lung AV shunts lies in their variable demographic and morphological characteristics. These lung lesions can be acquired or inherited, diffuse or discrete, and can occur in pediatric or adult patient populations. In addition, lesions that include enlargement or aneurysmal dilation of vessels as well as tortuous nests of vessels at the arteriovenous junction are variably described as “shunts,” “fistulae,” and “arteriovenous malformations.” This variety in presentation and nomenclature has made the study of lung AV shunts a challenging field that includes several animal models.

Data from the sheep and rat models of cavopulmonary anastomosis, and the rat model of hepatopulmonary syndrome (common bile duct ligation), have shed some light on the molecular mediators that may regulate lung AV shunts. These studies have demonstrated elevations in local hypoxia-inducible factor (HIF-1α), vascular endothelial growth factor (VEGF) (25, 28), angiotensin-pathway proteins (24, 26), and endothelin-1 receptor B. In addition, these models have indicated a possible association between lung AV shunts and pulmonary endothelial nitric oxide synthase (eNOS) (9, 44) and oxidative stress (25). None of these molecular associations, however, has completely characterized the mechanism underlying the ultimate pathological findings in lung AV shunts. Moreover, the lack of a sound murine model for lung AV shunts has prevented further discoveries using powerful transgenic technology. Development of such a model would be a significant contribution to the field.

More recent data indicate possible links between the aforementioned molecular mediators and Notch signaling in lung vascular homeostasis. For example, Zhang et al. (43) have found that, in the rat model of hepatopulmonary syndrome, activation of lung endothelial VEGF-A, eNOS, and Akt is accompanied by pulmonary angiogenesis. In addition, Notch signaling has emerged as a key mediator of angiogenesis, in particular for its role in arteriovenous specification (16, 42). The transmembrane Notch receptor is critical to proper differentiation of all mammalian tissues studied to date, enabling cell fate decisions through cell-cell communication (18). Ligand binding of the Notch extracellular domain results in a series of cleavage events that releases the intracellular domain (ICD), which translocates to the nucleus and activates transcription of downstream genes (18). Disruptions of Notch signaling, both loss-of-function and gain-of-function, result in abnormal vascular remodeling and arteriovenous shunting, which demonstrate the importance of Notch receptors in proper vascular maintenance (15, 20, 40). Based on previous data that demon-
strate an elevation in endothelial Notch4 expression before lung AV shunts develop in sheep (23) and additional studies that show consistent expression of Notch4 in mouse lung endothelium (41), we hypothesized that expression of constitutively active endothelial Notch4 (Notch4*) would induce lung AV shunts (enlarged arteriovenous communications) as a primary phenomenon.

MATERIALS AND METHODS

Mice. *Tie2-*tTA:Tre-int3 (henceforth to be called Notch4* mutants) and *Tre-LacZ* transgenic mice have been described (5). The *Tie2-*tTA construct consists of a gene encoding the tetracycline transactivator (tTA) that is coupled to the *Tie2* promoter, which confers endothelial specificity. The *Tre-int3* and *Tre-LacZ* constructs consist of a tetracycline response element (TRE) coupled to the gene for a β-galactosidase (LacZ). Breeding mice have been backcrossed to both *Tie2-*tTA and *TRE-int3* or *Tre-LacZ* constructs allows for temporally regulatable gene expression. In the presence of tetracycline or doxycycline, the tTA is inactive, whereas upon withdrawal of tetracycline or doxycycline, the tTA can bind the TRE and promote gene expression. For adult mouse experiments, breeding pairs and pups were given a Dox (200 mg/kg, Bio-Serv) diet until postnatal day 21. Adult mice were studied between 6 and 7 wk after withdrawal of the Dox diet. Embryos were genotyped as described previously (3). All animals were treated in accordance with the guidelines of the UCSF Institutional Animal Care and Use Committee, and all protocols were approved by this committee.

Organ culture of whole embryonic lungs. For experiments using organ culture of whole embryonic lungs, pregnant dams were treated with Tet sucrose solution (0.5 mg/ml tetracycline, 50 mg/ml sucrose, organ culture of whole embryonic lungs, pregnant dams were treated... Care and Use Committee, and all protocols were approved by this committee.

RESULTS

In previous studies, we demonstrated endothelial-specific expression of Notch4* and/or LacZ...
reporter in embryonic and adult tissues such as yolk sac, liver, brain, and uterus (5, 13, 29) in the Tie2-tTA:TRE-int3 (now referred to as Notch4* mutants) and Tie2-tTA:TRE-lacZ mice. Because anti-Notch4-ICD immunostaining cannot distinguish between Notch4* and endogenous Notch4-ICD, and because of the relative abundance of Notch4 specifically in the lung (41), for the current study we chose to measure lacZ reporter expression as a surrogate for Notch4* expression. Colocalizing immunohistochemistry for β-galactosidase and CD31, an endothelial cell marker, showed lacZ reporter expression to be restricted to the endothelium, and histochemical β-galactosidase staining demonstrated robust, diffuse activity of the tTA throughout the lung (Fig. 1). Quantitative real-time PCR of RNA isolated from lung homogenates demonstrated a significant increase in Notch4* (int3) expression when doxycycline was withdrawn from the diet, starting at 1 wk and persisting at 5 wk after doxycycline withdrawal. Reinstitution of doxycycline promptly reversed Notch4* expression (Fig. 1). We have previously shown that Notch4* upregulates endogenous Notch4 by approximately threefold (5), and so it is possible that the subsequently observed effects of the transgene are partially the results of increased levels of endogenous Notch4. However, since endogenous Notch4 is not constitutively active, the effect of the transgene is most likely to be predominated by a direct Notch4* mechanism.

By 6 wk after doxycycline was withdrawn, most Notch4* mutants began to show signs of illness, including disheveled fur, increased respiratory rate, decreased activity, and a hunched posture. When mutants were euthanized at 6 or 7 wk after doxycycline withdrawal, more than 90% of the animals had gross and microscopic evidence of lung hemorrhages (Fig. 2). The hemorrhages did not have a predilection for any particular lung lobe and corresponded to the general impression of the investigator of the severity of illness in the animals. Ill mutants were found to have punctate hemorrhages with a variable distribution, whereas moribund mice often were found to have entire lobes that were hemorrhagic. Hemorrhages were rarely seen in littermate controls and were always single and punctate. When a subset of mutants and controls were analyzed 4 wk after doxycycline withdrawal to determine if hemorrhaging preceded the onset of symptoms, no gross or microscopic hemorrhages were observed (data not shown). Arterial blood gas analysis at 6–7 wk after doxycycline withdrawal showed significant impairments in oxygenation and ventilation, as demonstrated by decreased Po2 and increased Pco2, in the mutants (Fig. 3). Another subset of eight mutants had doxycycline reinitiated in their diet at the first signs of sickness (slightly decreased activity, disheveled fur, increased respiratory rate) ~6 wk after initial doxycycline withdrawal. The majority of these mice (7/8 or 87.5%) recovered, and at necropsy 6 wk after doxycycline reinitiation, the lungs appeared normal with no gross hemorrhages (Supplemental Fig. S1. Supplemental data for this article is available online at the AJP-Lung web site.). Therefore, expression of Notch4* was associated with diffuse lung hemorrhages and significant respiratory insufficiency, and cessation of Notch4* expression reversed these pathophysiological effects.

**Arteriovenous shunting in adult Notch4**

To further assess the origin of the lung dysfunction seen in Notch4* mutants, we performed vascular casting to view the pulmonary vasculature 6–7 wk after doxycycline withdrawal. Due to the viscosity of the Microfil casting agent and the small caliber of...
the pulmonary capillaries, the casting material normally fills only the arterial circulation. Consistent with this casting behavior, the casting agent was observed in the venous circulation in only a single lung lobe of the six control mice. In contrast, the casting agent filled at least one main lobar vein in five of the seven Notch4* mutants, with an average of 2.4 ± 2.1 main lobar veins filling (out of a possible 5) per animal (Fig. 4, A–E). Filling of main lobar veins in the Notch4* mutants was evenly distributed over the left, right apical, right middle, right caudal, and right accessory lobes. The capillary network failed to hold the casting material, and no other obvious vascular defects were noted.

When fluorescent microspheres 6-μm in diameter were injected into the venous circulation, a significant number of microspheres passed through the lungs and were recovered in the arterial blood of the Notch4* mutants 6–7 wk after doxycycline withdrawal, whereas none were recovered in the control mice. A subset of mutants had doxycycline re instituted in their diet at the first signs of sickness ~6 wk after initial doxycycline withdrawal. When these mice were analyzed 6 wk after re institution of doxycycline, significantly fewer microspheres were recovered in the arterial blood (Fig. 4F). Therefore, expression of Notch4* was associated with development of arteriovenous shunts in a reversible fashion.

**AVM-like lesions develop in Notch4* mutant lungs.** Examination of the fluorescent agarose-perfused lungs allowed for visualization of the complete arteriovenous network in littermate control mice, including the capillary network intervening between arterial and venous circulations. In general, the larger-
caliber arterial circulation is positioned in a dorsal position, whereas the larger-caliber venous circulation is positioned in a ventral position. A typical arborization pattern of the vasculature was visualized in the lungs of littermate controls (Fig. 5, A–C). In the Notch4* mutants, in general, the deeper arterial vasculature, and in many areas, the capillary interface between artery and vein could be visualized (Fig. 5, D–F). However, several areas of the vasculature were obscured, possibly due to hemorrhage that did not clear with the sucrose gradient or other flow abnormalities. In addition, the mutants exhibited areas of enlarged, tortuous vessels, in particular near the hilum of the individual lobes (Fig. 5, G–L). Thus, Notch4* expression resulted in vascular perfusion irregularities and development of AVM-like lesions in the lungs.

**Effects of Notch4* expression are a primary lung phenomenon.** To assess the effects of Notch4* expression outside the influence of systemic effects and blood flow, we cultured embryonic lung bud explants. After tetracycline was removed from the drinking water of pregnant dams at E10.5, lung buds were dissected at E11.5, cultured for 48 h, and then fixed and stained for CD31. We found that when incubation began, the lung buds from mutants and controls were grossly indistinguishable, but that after 48 h of whole lung organ culture, Notch4* mutant lung explants developed a significantly increased number of large (>50 μm diameter) vessels and fewer air spaces (Fig. 6).

**DISCUSSION**

In this study, we hypothesized that expression of constitutively active endothelial Notch4 would induce enlarged arteriovenous communications (AV shunts) as a primary phenomenon. Previously, we have shown that Notch4* expression in adult mice results in reversible portosystemic shunting and enlarged hepatic arteries (5). In addition, we recently demonstrated upregulation of endothelial Notch signaling in human brain AVM specimens (30). In the current study, our results indicate that sustained Notch4 activity in the lung endothelium results in arteriovenous shunting with development of AVM-
like lesions in adult mouse lungs and enlarged embryonic lung vessels in whole organ lung explant cultures. These findings suggest that aberrant Notch4 expression may play a role in disease states that result in lung arteriovenous shunting.

**Notch4** expression is independently associated with lung vessel enlargement. When we first recognized lung abnormalities in the Notch4* mutants, we acknowledged that these abnormalities could also be explained by possible systemic effects of Notch4* expression such as heart failure and effects on other organ systems. These systemic effects occur because our transgenic mouse model lacks site specificity, i.e., the transgene is expressed in the endothelium throughout the organism when doxycycline/tetracycline is withdrawn from the diet. Therefore, to determine if Notch4* expression could have an independent effect on lung vasculature, we used the explant culture technique for the whole lung, which is well described in the literature. By timing the removal of tetracycline to cause onset of Notch4* expression at the time of embryo dissection and the beginning of lung explant culture, we were able to assess the independent effects of the transgene. Although this way of studying the transgene required working in an embryonic system, the results nevertheless demonstrate a primary effect of Notch4* expression. Thus, our analysis shows that Notch4* expression is independently associated with increased numbers of enlarged lung vessels, and correlates well with other reports of Notch4 gain-of-function causing enlarged blood vessels (5, 13, 21).

**Notch4** mutants provide a new model and pathway to explore the biology of lung AV shunts. Currently, there are few animal models of lung shunts/AVMs, and no reliable adult transgenic mouse models have been described. In one existing model, conditional deletion of the TGF-β receptor Alk1 (also known as Acvrl1) from the lung endothelium resulted in enlarged, tortuous blood vessels, but those mutant mice died by E18.5 and are therefore suboptimal for studying potential therapeutic strategies (31). Another study of adult mice heterozygous for the Alk1 gene found that only 1 out of 47 developed lung lesions at age 11 mo (37). In addition, both the conditional knockout and heterozygous Alk1 mutants are described as models for the human disease HHT, which constitutes only a small fraction of patients with lung AV shunts. Other models, such as the sheep (26) and rat (39) cavopulmonary anastomosis models, can be cumbersome, expensive, and technically challenging. The rat hepatopulmonary syndrome (common bile duct ligation) model (10) has been productive and yielded important insights, but the lack of abundant transgenic rat strains is limiting, and application of this model in mice has not been published. In this study, we have shown that the induction of enlarged arteriovenous communications in the lungs of adult mice can be achieved in a temporally regulatable and reversible fashion. Our Notch4* mouse model, therefore, provides a novel approach for studying adult lung AV shunts by cross-breeding with other transgenic mice or investigating potentially inhibitory pharmacological agents.
Notch signaling may be a common effector in lung AV shunt biology. The development of reversible arteriovenous shunting in the lungs of Notch4* mutants and the molecules implicated in other lung AV shunt/AVM models suggest that the Notch pathway may play a central mechanistic role. The effects of HIF-1α and VEGF, which are elevated in the sheep and rat lung AVM models (25, 28), have been shown to be mediated through Notch signaling (22, 33). In addition, Akt, which is increased in the rat hepatopulmonary syndrome model, acts via Notch signaling in some systems (1). Finally, Notch has been shown to play a critical role in orchestrating the biological responses to TGF-β signaling (14), such as the lung defects described in the Alk1 mice above.

How Notch signaling leads to AV shunting has not been completely described, but we and others (5, 21) have proposed that Notch-induced inhibition of new vessel sprouts leads to existing vessel enlargement. Our vascular casting and microsphere data demonstrate the presence of enlarged intrapulmonary arteriovenous channels. This process mimics that which has been described for the development of arteriovenous malformations in HHT, in which enlargement of the postcapillary venules is the initial morphological change (4). It is possible, then, that Notch activation is the final common pathway of various lung-AV shunt-inducing disease entities, causing vessel enlargement at the capillary bed interface. This initial vessel enlargement could then lead to changes in blood flow and cause further enlargement and tortuosity (36), resulting in morphological vascular changes that ultimately result in clinically and radiographically apparent lung lesions. In summary, our results, together with the associations of Notch with the HIF-1α, VEGF, Akt, and TGF-β pathways, suggest that Notch signaling may be a key effector in the development of lung AV shunts.

In conclusion, this study shows that expression of constitutively active endothelial Notch4 directly leads to pulmonary arteriovenous shunting in mice. Furthermore, aberrant Notch signaling may play a key role in the development of lung AV shunts, and the relevance of this pathway in the pathobiology of lung AV shunts/AVMs warrants further exploration.

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GRANTS
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DISCLOSURES
No conflicts of interest are declared by the author(s).

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