

Household air pollution: the role of biomarkers of exposure and biomarkers of respiratory disease

1 **Household air pollution: a call for studies into biomarkers of exposure**  
2 **and predictors of respiratory disease**

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17 **Running head**

18 Biomarkers of household air pollution

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34 **Abstract**

35 Household air pollution (HAP) from indoor burning of biomass or coal is a leading global cause of  
36 morbidity and mortality, mostly due to its association with acute respiratory infection in children,  
37 chronic respiratory and cardiovascular diseases in adults. Interventions that have significantly  
38 reduced exposure to HAP improve health outcomes and may reduce mortality. However, we lack  
39 robust, specific and field-ready biomarkers to identify populations at greatest risk, and to monitor  
40 the effectiveness of interventions. New scientific approaches are urgently needed to develop  
41 biomarkers of human exposure that accurately reflect exposure or effect. In this perspective, we  
42 describe the global need for such biomarkers, the aims of biomarker development, and the state of  
43 development of tests which have the potential for rapid transition from laboratory bench to field  
44 use.

45

## 46 **Exposure to household air pollution is a global problem**

47 Household air pollution (HAP) is a daily exposure for nearly half of the world's population and a  
48 leading environmental cause of death. HAP related morbidity and mortality predominantly affects  
49 people in lower and middle income countries (LMIC), especially women and young children. Almost  
50 4 million deaths per year are attributable to HAP due to childhood respiratory infection, and chronic  
51 lung disease, lung cancer and cardiovascular disease in adults(22, 32). HAP exposure in LMIC mostly  
52 results from the combustion of biomass fuels (wood, charcoal, dung and crop residues), coal or  
53 kerosene within homes for cooking, heating and lighting(49). Biomass fuels have also been  
54 implicated in the development of tuberculosis, asthma, cataracts and low birth weight(13).

55 Even in high income countries such as the US and Canada, HAP is an issue, especially for the rural  
56 poor(5). In Southwest Alaska, the Pacific Northwest, tribal lands, and Appalachia as much as 60% of  
57 the population burns solid fuels like wood, coal, and/or coke for seasonal heating. In these areas,  
58 HAP exceeds the World Health Organization (WHO) air quality guidelines in up to 80% of homes(5).  
59 Similar exposures probably exist in other high income countries, although this represents only a  
60 fraction of the global burden that occurs in LMIC(36).

61 Given the effects of exposure, and the large at-risk population, massive effort is being directed  
62 globally at HAP exposure reduction programs, mostly centered on the implementation of improved  
63 cook stoves. For example, a multi-national public-private partnership between the US government  
64 and the United Nations Foundation launched the Global Alliance for Clean Cookstoves  
65 (<http://www.cleancookstoves.org>), which aims to have 100 million homes adopt clean and efficient  
66 stoves and fuels by 2020. Increasing the efficiency of combustion in cooking and heating appliances  
67 should provide multiple benefits, including reduced expenditure on fuel, local environmental  
68 protection leading to increased livelihood security, and potentially wider benefits on climate change.  
69 Reduction of health risks associated with HAP by any intervention remains challenging(25), and  
70 success is determined by the level of community and household adoption. Cook stove and other  
71 intervention programs urgently need to define and monitor personal exposure, and directly evaluate  
72 the health benefits of exposure reduction, especially as intervention programs are scaled up.  
73 Effective biomarkers used in these studies will allow us to determine which interventions work for  
74 meaningful health outcomes, and ensure that the significant resources now available are  
75 appropriately directed. This requires the scientific community to develop improved biomarkers of  
76 both exposure and health risk, and validate these in human studies in order to help assess the  
77 impact of millions of new stoves new types of fuel.

78 In this perspective, we provide an overview of current approaches to HAP assessment, the aims of  
79 biomarker development, and the state of development of tests which have the potential for rapid  
80 transition from laboratory bench to field use. This is not a comprehensive review, but should direct  
81 the reader to important and exciting areas of current research.

## 82 **Current approaches to indoor smoke exposure assessment**

83 Current HAP assessment tools include direct quantitative measurement of products of incomplete  
84 combustion as well as qualitative methods including use of questionnaires or the categorization of  
85 HAP exposure by the type of cookstove or fuel.

86

87 **Particulate matter**

88 Particulate matter (measured as the concentration of particles less than 2.5 or 10 microns in  
89 diameter [PM2.5 and PM10]) is used to describe ambient outdoor and indoor air pollution, in  
90 tandem with measurements of ozone and oxides of nitrogen and sulphur. Ultrafine particles (less  
91 than 100micron diameter) are also important as they have high toxicity per mass owing to their high  
92 surface area. PM is usually monitored at stationary sites and represents emissions from fossil fuel  
93 use for energy and transport, agricultural management and forest fires, and HAP. As a major  
94 constituent of HAP, black carbon (soot) represents a target for improving health(2). High levels of  
95 ambient PM exposure are associated with low birth weight(45), myocardial infarction(34),  
96 cardiovascular mortality, lung cancer with poorer lung cancer outcomes(50), reduced lung  
97 function(11) and total mortality(38).

98 Static particulate measurement has been the standard for assessment of outdoor air pollution.  
99 Gravimetric sampling is the accepted standard for quantification of airborne particulate mass, and  
100 allows both cumulative mass exposure and chemical composition to be retrospectively determined.  
101 Photometric detection, by real-time monitoring of changes in light diffraction, generates more  
102 refined time-exposure data. Indoors, static measurement can be combined with positioning  
103 information to account for exposure variations due to time and proximity to the source, fuel type  
104 and condition (water content), burn conditions (completeness of oxidation), and personal behavior  
105 such as seasonal outdoor fire use and night time exposure from a partially extinguished source in the  
106 sleeping areas. These factors are also likely to determine the correlation between PM and other  
107 measures such as carbon monoxide, which can vary widely.

108 Direct exposure assessment of HAP by personal monitoring is commonly preferred to static  
109 monitoring of rooms as it takes into account proximity to the source and other behaviors which  
110 modify exposure. Difficulties arise due to the size, portability and recording capacity of equipment,  
111 and by acceptability to the user. New devices currently being field tested and scaled up for  
112 commercial use, address many of these concerns.

113 Particulate measurement alone also cannot differentiate the multiple sources of pollution (e.g.  
114 mixtures of HAP, tobacco smoke and outdoor pollution.)

115 **Carbon monoxide monitoring**

116 Personal environmental CO monitoring is a helpful proxy of HAP, particularly when black carbon  
117 emission is low (e.g. charcoal burning); such situations are especially dangerous to users as the  
118 absence of visible smoke permits levels of CO to rise undetected. Electrochemical sensors record  
119 levels of CO with high temporal resolution but are expensive. Diffusion tubes represent a simpler  
120 method: CO enters a short tube worn on the outer clothing by passive diffusion, and catalyses the  
121 reduction of sodium palladoslfite. The resulting palladium discolours a length of tube proportional to  
122 total CO exposure. These measurements are associated with important health effects, including the  
123 rate of respiratory infections(43) and neurodevelopmental outcomes in young children exposed in  
124 utero(10).

125 **Qualitative measures**

126 Epidemiological studies continue to identify populations at risk from HAP, and to define biological  
127 and behavioral correlates of risk. Questionnaire studies of exposure are commonly employed in  
128 intervention programs as an adjunct to quantitative data. Typically, self-report questionnaires are

129 employed which detail information on exposure, often related to cooking times. Stove use  
130 monitoring systems can be used to objectively describe the pattern of exposures from cooking, and  
131 these measures can be compared with environmental monitoring of pollutant concentrations in  
132 household air. Time-activity surveys and questionnaires allow time-weighted exposures to be  
133 estimated(14). Modeling methods can refine these exposure estimates, and incorporating biomarker  
134 data might provide further improvements.

135 In summary, current HAP exposure measurement methods are expensive, technically challenging,  
136 difficult to use with large population studies and have substantial limitations making an urgent case  
137 for the development of biomarkers of both exposure and health effects.

### 138 **Need for improved biomarkers of HAP**

139 The National Institutes of Health defines a biomarker as a “characteristic that is objectively  
140 measured and evaluated as an indicator of normal biological processes, pathogenic processes, or  
141 pharmacologic responses to a therapeutic intervention”. In HAP, these may include biomarkers of  
142 exposure, susceptibility or effect. Validation of biomarkers by comparison with direct measurement  
143 of specific HAP components is a key research need. We focus on biomarkers of exposure as these  
144 are most urgently needed and potentially most accessible. However, further development of  
145 biomarkers of susceptibility and effect could facilitate large scale studies examining the impact of  
146 HAP on health and disease in human populations.

147 Effective biomarkers of HAP would (a) improve epidemiological accuracy in association studies with  
148 health effect, (b) reduce the cost and complexity of monitoring intervention studies, (c) provide data  
149 for education of the public and policy makers about risk and (d) inform clinicians and the public  
150 health community about human environmental exposures that are not well characterized.

### 151 **Biomarkers in public health interventions**

152 Public health interventions to reduce the health effects of HAP are well under way. These also  
153 address wider concerns about the environment (reducing fuel use), the empowerment of women  
154 (by reducing the time spent collecting wood), and community (improving fuel security). Although the  
155 stated intent is often to improve health, the complexity and expense of exposure and health  
156 measurements have limited good quality data. The RESPIRE study in Guatemala demonstrated for  
157 the first time in a randomized controlled trial that improved cook stoves can significantly reduce  
158 exposures and reduce risk for severe pneumonia in children under the age of five(42). Adopting  
159 validated biomarkers within larger scale studies would be likely to improve the cost and ease of  
160 assessing health risks. Widespread adoption of such monitoring could enhance the effectiveness and  
161 geographical scope of large scale implementation programs, although this has yet to be tested.

### 162 **Biomarkers for educational use**

163 Biomarker testing is a useful educational tool when results are communicated to the public and  
164 policy makers. Although the adoption of improved/advanced (non-traditional) cook stoves may be  
165 mostly driven by economics(30), biomarkers could demonstrate health benefits to policy makers as  
166 well as end-users.

### 167 **Biomarkers of clinical diagnosis and markers of disease progression**

168 While biomarkers can be used for diagnostic testing, HAP biomarkers are unlikely to be useful in this  
169 context because: 1) validation against disease outcomes is difficult due to the limited data available

170 from health systems in developing countries; 2) biomass exposure is associated with common  
171 diseases such as lower respiratory tract infection and COPD which have multiple risk factors.  
172 Individual biological monitoring would allow more in depth mechanistic studies of harm, however,  
173 and would give useful data on inter and intra-individual variation in exposure.

#### 174 **Biomarker development**

175 The Institute of Medicine in a recent report to the Food and Drug Administration suggested a three  
176 stage framework for the development of biomarkers(28):

- 177 1. "Analytical validation": to ensure reliability, reproducibility, sensitivity and specificity
- 178 2. "Qualification": to confirm a strong association with the clinical outcome of concern
- 179 3. "Utilization": contextual analysis to determine that the biomarker is appropriate for
- 180 the proposed use

181 Specific issues relating to development and field use of HAP biomarkers are summarized in Table 1.

182 .

#### 183 **Biomarkers of exposure to HAP**

184 Biomarkers for environmental particulate exposure are already in use: for example, cigarette smoke  
185 exposure can be estimated by concentrations of nicotine and its metabolites, tobacco specific  
186 derivatives of nitrosamine, metabolites of pyrene, acrolein and butadiene amongst other  
187 markers(40). This model underscores that biomarkers of inhaled substances may be assessed in  
188 multiple body compartments, each with different implications for chronicity of exposure. Biomass  
189 and coal smoke contain some of these and other constituents which may cause direct toxicity,  
190 generate reactive oxygen species and enhance inflammatory pathways. Targets for HAP biomarker  
191 development therefore include the gaseous and particulate products of biomass combustion and  
192 less specific measures of inflammation, oxidative stress, carcinogenesis and endothelial  
193 activation(23). Existing biomarkers and tracers of wood burning in the context of forest fires, and in  
194 diesel exhaust and ambient air pollution might also be employed.

195 No single potential biomarker has been found to be sufficiently precise in both controlled and field  
196 conditions. In settings where HAP exposures are very high, single tests could be used in field trials  
197 even when their specificity is relatively low. In moderate and lower exposures, combining two or  
198 more measurements within a composite endpoint would increase costs, but may be an effective  
199 approach.

200 Table 2 Potential lines of investigation for HAP biomarkers – ambient and *ex vivo* measurement

201 Table 2 legend

202 A summary of potential investigations and promising biomarkers: their physiological relevance.

| <b>Investigation</b>   | <b>Physiological relevance</b>  |
|--|---|
| Exhaled CO / transcutaneous COHb: field test in HAP  | High CO might explain atherosclerosis and foetal effects (through left shift in O <sub>2</sub> dissociation curve and myoglobin binding)  |
| Methoxyphenols, levoglucosan: field test and controlled use in HAP   | Unmetabolized urinary product should reflect exposure – physiological determinants of this correlation are not well understood  |
| 1-hydroxypyrene (1-OHP): field tests for discrimination of pyrene metabolite levels at low concentration   | Polyaromatic hydrocarbons known to be carcinogenic<br>Unknown relevance of CYP enzyme polymorphisms in terms of biomarker   |
| DNA methylation: case control or cohort studies of effect of HAP   | Epigenetic effects may explain long term effects ( <i>e.g.</i> ischaemic heart disease)<br>Known effects of methylation on promoters of genes for inflammatory pathways ( <i>e.g.</i> iNOS)                             |
| Generation of robust animal models for HAP, especially chronic exposures   | Chronic exposure effects are likely to be different than experimental acute exposures due to physiological responses ( <i>e.g.</i> antioxidant upregulation, negative and positive feedback within signalling pathways) |
| Malondialdehyde, 8-isoprostane, 8-oxo-7,8-dihydro-2'-deoxyguanosine: Investigation of urinary and plasma performance in controlled and field tests | HAP products are known to cause oxidative stress including lipid peroxidation and DNA strand breaks.<br>Measurement within organisms is complicated by buffering and repair mechanisms.                                 |

203

204

205 Figure 1 shows *in vivo* metabolism and excretion of these potential biomarkers. Currently, urinary  
206 and plasma biomarkers seem most extensively studied. However other methods provide significant  
207 advantages, including: dried blood spots; exhaled breath; induced sputum; transcutaneous and  
208 personal area monitoring.

### 209 **Exhaled CO and carboxyhemoglobin (COHb)**

210 Invariably, CO is a by-product of incomplete combustion even with use of advanced stoves and  
211 “cleaner fuels” such as liquefied petroleum gas (LPG). Exhaled CO correlates with environmental CO  
212 in highly HAP exposed individuals(21) and can be measured in the field with relatively easy-to-use  
213 and inexpensive devices. Blood COHb can also be measured by spectrophotometry. In complex HAP  
214 reduction programs, COHb among 20 subjects fell from a pre-intervention range of 1.1 to 13.9% to a  
215 post-intervention range of 0.7 to 1.3%(48). Transcutaneous measurement of COHb offers the  
216 potential for ease of use without the need for access to peripheral blood and correlates with blood  
217 samples, although field testing has shown considerable random error at low levels: the required  
218 accuracy is debatable even when used in clinical contexts(24). Further testing is needed to validate  
219 whether non-invasive assessment of COHb is accurate, reproducible, relevant to air quality  
220 monitoring, and practical in low resource settings.

221 CO or COHb are already accepted clinical tests supported by a body of literature related to acute and  
222 chronic exposures. However, there is a further need for research to assess their validity as  
223 biomarkers in the context of HAP.

### 224 **Methoxyphenols**

225 Methoxylated phenols contribute by weight around one fifth of organic pollutants in urban air.  
226 Wood burning results in the pyrolysis of lignins to form polymeric syringyl or guaiacyl propane  
227 derivatives. Syringyl derivatives are found in higher proportions in hard wood combustion  
228 products(15), have lower background concentrations, and are detectable by gas chromatography-  
229 mass spectroscopy (GC-MS) of urine. However metabolism is variable: the usual elimination half-life  
230 for syringyls is around 2-3 hours, but some individuals demonstrate late peaks around 30 hours  
231 which may represent enterohepatic recirculation(9). Dietary intake of smoked foods and some over  
232 the counter cold remedies increase levels of methoxyphenols(9), as might tobacco smoke.

233 Urinary syringyl methoxyphenols have been demonstrated to correlate with personal CO exposure in  
234 cookstove intervention studies(7) and with personal PM2.5 exposure to indoor wood fires(9).

### 235 **Levogluconan (1,6-anhydro-β-D-glucopyranose)**

236 Levogluconan is formed by the burning of cellulose and starches. As the major single organic  
237 contributor to wood smoke, it is used as a tracer in ambient monitoring, but also forms in tobacco  
238 smoke. Relative quantities depend strongly on wood type, but increased urinary levels can be  
239 measured after wood smoke inhalation. By gas chromatography-mass spectrometry, 85% of an  
240 intranasal dose was excreted unmetabolized within 4 hours(29). Diesel exhaust exposed mice  
241 showed no increase, suggesting specificity of fuel type. Preliminary, uncontrolled human exposure  
242 experiments have not demonstrated a correlation between personal PM2.5 or home use of wood  
243 stove and urinary levogluconan(4), perhaps due to dietary contribution from various sources (e.g.  
244 caramels). Among wild land firefighters, urinary levogluconan does not consistently rise after  
245 exposure(17, 35).

246 **Measures of oxidative stress**

247 ***Malondialdehyde / isoprostanes***

248 Malondialdehyde (MDA, formed from the peroxidation of polyunsaturated lipid) and 8-isoprostane  
249 (derived from the interaction of arachidonic acid and free radicals) can be used to measure oxidative  
250 stress. Despite considerable evidence of increased levels in disease states, few studies have  
251 examined lipid peroxidation markers in HAP. 8-Isoprostane may be measured by liquid  
252 chromatography/mass spectroscopy or ELISA. Both MDA and 8-isoprostane may be measured in  
253 urine, serum and exhaled breath condensate, and may be increased after inhalation of various forms  
254 of PM, including that in cigarette smoke(51).

255 Controlled experimental exposure to wood smoke in humans increases MDA in exhaled breath, and  
256 8-isoprostane urinary excretion(3). A cohort study comparing highly biomass exposed (rural)  
257 dwellers with an urban group found that serum levels of MDA in Turkish women were raised in the  
258 former group(18). A blinded randomized intervention used residential HEPA filtration as an  
259 intervention in a community shown to have high levels of residential wood combustion. Indoor  
260 PM<sub>2.5</sub> was reduced by approximately two thirds, but with low absolute decreases of 6.6 µg/m<sup>3</sup>. Both  
261 MDA and 8-isoprostane concentrations were unchanged(1). So far, there is insufficient evidence to  
262 use MDA and 8-isoprostane as biomarkers for clinical studies.

263 ***DNA damage/ mutagenesis***

264 DNA damage is a marker of oxidant-mediated injury from exposure to HAP and links such exposures  
265 mechanistically to increased rates of lung cancer(33). A simple screening assay for DNA damage is  
266 the comet assay, which measures DNA breaks by the differential movement of DNA subjected to  
267 electrophoresis on agarose gels. A more precise measure of DNA damage, 8-oxo-7,8-dihydro-2'-  
268 deoxyguanosine (8-oxodG), is formed after oxidation of the guanine nucleotide in DNA. It may be  
269 detected in plasma or urine by HPLC, liquid chromatography or ELISA. 8-oxodG is increased in the  
270 urine of mice exposed to ultrafine particles(47), but the usefulness of this assay as a biomarker of  
271 HAP is extrapolated from the literature on atmospheric PM. Using more than one measure of DNA  
272 damage, or combining with tests of oxidative stress should be considered to give a more accurate  
273 assessment of genotoxicity and carcinogenicity in humans.

274 A recent meta-analysis of the usefulness of these potential biomarkers of exposure to air  
275 pollution(31) suggested that 8-isoprostanes, MDA and 8-oxodG show promise but require testing in  
276 large scale prospective studies. It should be noted, however, that only one study(18) of the more  
277 than 30 studies included specifically related to HAP.

278 **Polycyclic aromatic hydrocarbons and their metabolites**

279 Incomplete combustion of biomass or fossil fuels may result in the formation of polycyclic aromatic  
280 hydrocarbons (PAH, three or more fused benzene rings). Systemic absorption of pyrenes (4 ring  
281 structures) from traffic related air pollution and occupational exposure can be measured by the  
282 metabolite urinary 1-hydroxypyrene (1-OHP) by HPLC. Urinary 1-OHP increases in those exposed to  
283 gas cookers compared with electric ones(12) and reflects environmental exposure even at low levels,  
284 although it is increased in passive cigarette smoke exposure and with some foods. In communities  
285 using wood as a fuel for cooking, 1-OHP is increased(39), but in only 1 of 3 Mexican communities  
286 examined did concentrations exceed those suggested as occupational exposure limits (1.4µmol/mol  
287 creatinine). A study in Burundi compared urban and rural indoor environments: in the latter PAH

288 mean concentrations were  $43\mu\text{g}/\text{m}^3$ . In rural dwellers, urinary 1-OHP levels were 30 times higher  
289 than in urban dwellers (1.5 vs.  $0.05\mu\text{mol}/\text{mol}$  creatinine), and independent of cigarette smoking(52).  
290 Similar results are reported from Afghanistan (2.1 vs.  $0.75\mu\text{mol}/\text{mol}$  creatinine in adults and  
291 children from rural vs. urban communities)(16). These studies were uncorrected for vehicle  
292 emissions.

293 In the context of clean stove interventions in Mexico, 1-OHP levels fell from 6.7 to  $4.8\mu\text{mol}/\text{mol}$   
294 creatinine when associated with a fall in mean COHb from 4.9% to 1.0%(48). Similar results were  
295 obtained at a separate site, despite a 3 fold decrease in CO exposure, suggesting that overall  
296 exposures remained high. 1-OHP measurement is complicated by polymorphisms in the metabolic  
297 enzyme CYP1A1 which are associated with 2 fold higher urinary levels(53).

### 298 **Aldehyde-protein compounds**

299 Acrolein, an unsaturated aldehyde, can be produced by burning almost any hydrocarbon, and is both  
300 ingested and inhaled. It has been extensively investigated for its toxic effects that include lipid  
301 peroxidation and binding of cytoplasmic and nuclear nucleophiles. Downstream effects of acrolein  
302 include activation of pro-inflammatory signalling through NF- $\kappa$ B and AP-1 transcription factors.  
303 Specific metabolites, such as 3-hydroxypropylmercapturic acid can be measured in the urine by  
304 liquid chromatography-tandem mass spectroscopy and gas chromatography. Levels are raised in  
305 cigarette smokers(6), but are unknown in HAP.

### 306 **Epigenetic modifications**

307 A recent report notes DNA methylation changes in newborns whose mothers were active tobacco  
308 smokers during pregnancy(19). Differential methylation across the genome occurs mostly, in early  
309 life. It may explain HAP-associated adverse outcomes such as low birth weight, impaired lung  
310 function, childhood infections and, potentially, the increased risk of non-communicable diseases  
311 such as asthma and COPD. Postnatal cord blood specimen, together with exposure assessments  
312 during pregnancy, may provide insights into future disease risk of children exposed to HAP during  
313 vulnerable windows of organ development.

### 314 **Biomarkers of health effect from HAP**

315 Biomarkers of health effects may overlap with the anticipated physiological effects of exposure. A  
316 number of such associations have been noted, which are commonly early pathological events, and  
317 subclinical effects. Although these tend to lack specificity, they may provide a useful proxy measure  
318 of exposure in well-defined populations. Further development could improve our current  
319 understanding of the physiological effects of HAP exposure, which is to date not well understood.

### 320 **Pulmonary**

321 Cross sectional surveys suggest a reduction in  $\text{FEV}_1$  in household members using biomass fuel  
322 compared with LPG. This correlates with  $\text{PM}_{10}$  concentrations and duration and intensity of  
323 exposure(8). Long lag times between exposure and disease, and considerable confounding make  
324 these measurements alone unlikely to be useful as a HAP biomarker. Bronchial hyper-responsiveness  
325 is a feature of HAP related lung disease, but has not been examined in a HAP-exposed asymptomatic  
326 population.

327 As a marker of airway inflammation, the fraction of exhaled nitric oxide (FeNO) possibly increases  
328 after experimental and ambient wood smoke exposure, although the data are somewhat unclear(46,

329 51). FeNO has been expensive and complex, precluding its widespread use in field studies in LMIC,  
330 but continued improvements in the technology may allow its wider use. Experimental exposure to  
331 wood smoke causes systemic inflammation and increases a marker of alveolar epithelial  
332 permeability (CC16), which has relevance to cardiovascular effects.

333 Chest radiography is not sensitive enough to demonstrate effects of HAP. However, frequent  
334 interstitial changes in lungs of smokers suggest that it is plausible that similar changes may be  
335 present in those exposed to HAP.

336 Pulmonary macrophages may be isolated from spontaneously coughed or induced sputum, or more  
337 directly by bronchoalveolar lavage. Such measurements assess PM<sub>2.5</sub> exposure, are increased with  
338 biomass exposure(20) and correlate inversely with lung function test results, but require technical  
339 skill and resources that may be beyond the capabilities of many institutions in LMIC. Similarly, effects  
340 at the level of the immune system such as alveolar macrophage dysfunction are measurable, but  
341 invasive and technologically demanding.

#### 342 **Cardiovascular**

343 Exposure to PM<sub>2.5</sub> is associated with increased inflammatory markers (IL-6, IL-8, TNF $\alpha$ , CRP),  
344 oxidative stress, reduced heart rate variability and increased arterial stiffness. HAP exposure  
345 reduction decreases blood pressure(27) and ECG abnormalities(26). None of these measures are  
346 specific to air pollutant exposures, although they are often sensitive enough to detect physiologic  
347 and biologic responses to the exposures.

#### 348 **Avenues for developing the potential assays**

349 Potential biomarkers for HAP exist but few if any have been validated for use in clinical studies.  
350 Without a gold standard test, external validation is challenging, but should involve other accepted  
351 measures such as PM and CO concentrations. Disease pathogenesis that may be well understood in  
352 acute exposure is likely to be altered in chronic exposures(41). Animal models, especially for chronic  
353 exposures, could be useful for pharmacological and toxicological validation, and for comparing  
354 effects across different fuel types and conditions.

355 Longitudinal studies of HAP health effects are likely to be confounded by multiple associations with  
356 poverty. They may be complicated by the effects of genetic predisposition, diet, recurrent infections,  
357 other exposures such as tobacco smoke, outdoor air pollution, etc. Developers of biomarker must  
358 keep these in mind as the biomarker itself may be subject to alteration by unexpected confounding  
359 factors. When biomarkers are to be studied in human populations, these are ideally embedded  
360 within an intervention study. This allows an assessment of performance at “real world”  
361 concentrations, and external validation. Additionally, physiologic responses to chronic exposure are  
362 not well described, but may induce protective phenotypes which alter the expected dose-response  
363 relationship. As no single measurement describes HAP perfectly, multiple associations are required,  
364 and normal ranges are yet to be established. Some potential avenues of investigation, and their  
365 physiological basis, are given in Table 2.

#### 366 **Current challenges and recommendations**

367 There is a need to document worldwide exposures and health effects due to HAP, particularly in  
368 LMIC where billions of people continue to use solid fuels such as biomass or coal. The biology of  
369 exposure to fine particulate matter and the induction of oxidative stress have been well documented

370 in other areas. However, few of the biomarkers discussed here have been used to assess the impact  
371 of HAP on these markers human health and disease. Virtually none meet usability criteria in the  
372 context of field use in low resource settings (see Table 1). The grand challenge to the research  
373 community is to produce simple and validated tests to identify populations at risk from HAP and  
374 individual responses to exposure reduction strategies.

375

376 Development of biomarkers is time-pressured. Large scale implementation programs are already  
377 underway. Field researchers, public health officials and advocacy groups need quick, simple and  
378 robust HAP exposure biomarkers. Improvements in point-of-care screening and diagnostics are  
379 enhancing global healthcare delivery in hard-to-reach populations by using uncomplicated  
380 equipment that can be deployed at lower cost due to economies of scale. The current global  
381 campaign to promote clean cooking solutions provides an opportunity to produce a biomarker of  
382 HAP exposure that could enhance intervention programs that are being applied to one of the most  
383 urgent and widespread public health issues of our time.

384

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545 **Table 1 Characteristics of ideal HAP biomarkers**546 **Table 1 legend**

547 In order to be useful and meaningfully implemented, HAP biomarkers should pass tests of field use  
548 and applicability. This table summarises key characteristics.

| <b>Issue</b>   | <b>Requirement</b>   |
|--|--|
| <b>Field readiness</b>   |  |
| Rural population<br>Lack of cold storage                       | Stable to temperature, storage and transport conditions.   |
| Limited laboratory<br>equipment                                | Single point of care test is ideal(37), such as those sought in the Gates' Foundation "Grand Challenges in Global Health".   |
| <b>Marker of exposure</b>                                      |  |
| Time lag from exposure<br>to outcome                           | Chronic complications, such as COPD result from long exposures.<br>Measuring exposure rather than health outcome is more immediately relevant for monitoring and intervention purposes.                            |
| Heterogeneity of effect<br>by age                              | Children most susceptible to infection, and adults to chronic respiratory complications. Biomarker of exposure more likely to be widely relevant.  |
| Rapid fluctuations in<br>exposure levels                       | Reflect cumulative exposure over days rather than hours  |
| <b>Validity / applicability</b>                                |  |
| External validity:<br>Consistency with<br>current measurements | Strong correlation between currently used metrics of exposure to ensure continuity within research and intervention programmes.<br>Correlation with disease risk or outcome is ideal, but of secondary importance. |
| Specificity  | Discriminate from other particulate exposures ( <i>e.g.</i> tobacco, traffic pollution.)   |
| Sensitivity  | Be sensitive to multiple fuel sources ( <i>e.g.</i> wood, charcoal, dung, paraffin.)   |
| Pre-test likelihood of<br>outcome unknown                      | Interpretable independent of background data. Unlike clinical biomarkers, there is no known "pre-test probability".  |
| Use in diverse<br>populations                                  | Consistent throughout the population at risk. Heterogeneity of response due to genetic polymorphisms ( <i>e.g.</i> in metabolic pathways can be a problem(44))   |

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550

551 **Table 2 Potential lines of investigation for HAP biomarkers – ambient and**  
 552 ***ex vivo* measurement**

553 **Table 2 legend**

554 A summary of potential investigations and promising biomarkers: their physiological relevance.

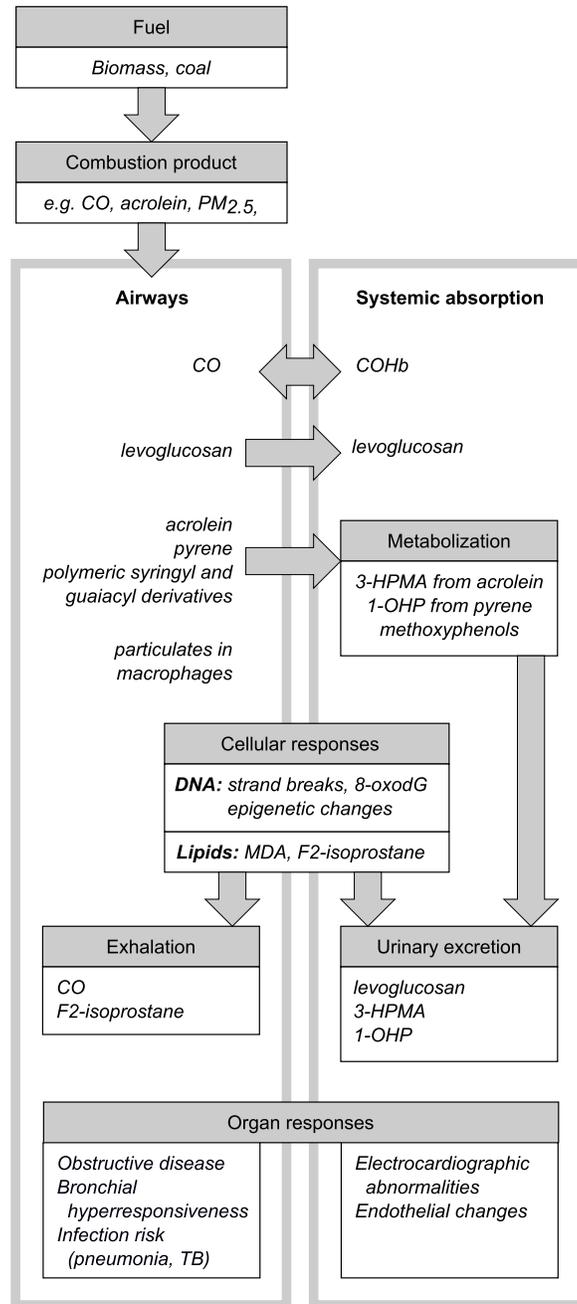
| Investigation  | Physiological relevance   |
|--|---|
| Exhaled CO / transcutaneous COHb: field test in HAP  | High CO might explain atherosclerosis and foetal effects (through left shift in O <sub>2</sub> dissociation curve and myoglobin binding)  |
| Methoxyphenols, levoglucosan: field test and controlled use in HAP   | Unmetabolized urinary product should reflect exposure – physiological determinants of this correlation are not well understood  |
| 1-hydroxypyrene (1-OHP): field tests for discrimination of pyrene metabolite levels at low concentration   | Polyaromatic hydrocarbons known to be carcinogenic<br>Unknown relevance of CYP enzyme polymorphisms in terms of biomarker   |
| DNA methylation: case control or cohort studies of effect of HAP   | Epigenetic effects may explain long term effects ( <i>e.g.</i> ischaemic heart disease)<br>Known effects of methylation on promoters of genes for inflammatory pathways ( <i>e.g.</i> iNOS)                             |
| Generation of robust animal models for HAP, especially chronic exposures   | Chronic exposure effects are likely to be different than experimental acute exposures due to physiological responses ( <i>e.g.</i> antioxidant upregulation, negative and positive feedback within signalling pathways) |
| Malondialdehyde, 8-isoprostane, 8-oxo-7,8-dihydro-2'-deoxyguanosine: Investigation of urinary and plasma performance in controlled and field tests | HAP products are known to cause oxidative stress including lipid peroxidation and DNA strand breaks.<br>Measurement within organisms is complicated by buffering and repair mechanisms.                                 |

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556

557 **Figure 1 Potential biomarkers of biomass exposure, metabolism and**  
 558 **excretion**

559 **Figure 1 legend**

560 Biomarkers may be derived from primary products of combustion, from their metabolites or from  
 561 products of their interaction with body proteins, DNA and lipids. Biomarkers may be measurable from  
 562 one or more body compartment, as summarised here.



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