

1 **Klotho, an anti-aging molecule, attenuates oxidant-induced alveolar epithelial**
2 **cell mtDNA damage and apoptosis**

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4 **Seok-Jo Kim^{1,2}, Paul Cheresch^{1,2}, Mesut Eren², Renea P Jablonski^{1,2}, Anjana Yeldandi³,**
5 **Karen M Ridge^{1,2}, GR Scott Budinger^{1,2}, Dong-Hyun Kim⁴, Myles S Wolf⁵, Douglas**
6 **Vaughan² and David W Kamp^{1,2}†**

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8 ¹Department of Medicine, Division of Pulmonary & Critical Care Medicine, Jesse Brown VA
9 Medical Center, Chicago, IL; ²Department of Medicine, ³Department of Pathology, and
10 ⁴Department of Radiology, Northwestern University Feinberg School of Medicine, Chicago, IL
11 60611. ⁵Department of Medicine, Duke University School of Medicine, Durham, NC

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14 *Address correspondence to David W. Kamp, M.D., Pulmonary and Critical Care Medicine,
15 Northwestern University Feinberg School of Medicine, McGaw M-330, 240 E. Huron St.,
16 Chicago, IL, USA, 60611-3010, Phone: (312) 908-8163, Fax: (312) 908-4650,
17 E-mail: d-kamp@northwestern.edu

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27

28 **Abstract**

29 Alveolar epithelial cell (AEC) apoptosis and inadequate repair resulting from
30 ‘exaggerated’ lung aging and mitochondrial dysfunction are critical determinants promoting lung
31 fibrosis. α -Klotho, which is an anti-aging molecule that is expressed predominantly in the kidney
32 and secreted in the blood, can protect lung epithelial cells against hyperoxia-induced apoptosis.
33 We reasoned that Klotho protects AEC exposed to oxidative stress in part by maintaining
34 mitochondrial DNA (mtDNA) integrity and mitigating apoptosis. We find that Klotho levels are
35 decreased in both serum and alveolar type II (AT2) cells from asbestos-exposed mice. We show
36 that oxidative stress reduces AEC Klotho mRNA and protein expression whereas Klotho over-
37 expression is protective while Klotho silencing augments AEC mtDNA damage. Compared to
38 wild-type, Klotho heterozygous hypomorphic allele (*kl/+*) mice have increased asbestos-induced
39 lung fibrosis due in part to increased AT2 cell mtDNA damage. Notably, we demonstrate that
40 serum Klotho levels are reduced in WT but not mitochondrial catalase over-expressing (*MCA*T)
41 mice 3 weeks following exposure to asbestos and that EUK-134, a MnSOD / catalase mimetic,
42 mitigates oxidant-induced reductions in AEC Klotho expression. Using pharmacologic and
43 genetic silencing studies, we show that Klotho attenuates oxidant-induced AEC mtDNA damage
44 and apoptosis via mechanisms dependent upon AKT activation arising from upstream fibroblast
45 growth factor receptor 1 (FGFR1) activation. Our findings suggest that Klotho preserves AEC
46 mtDNA integrity in the setting of oxidative stress necessary for preventing apoptosis and
47 asbestos-induced lung fibrosis. We reason that strategies aimed at augmenting AEC Klotho levels
48 may be an innovative approach for mitigating age-related lung diseases.

49 **Introduction**

50 *Klotho* is an anti-aging gene originally discovered in 1997 when severe *Klotho*
51 homozygous hypomorphic allele (*kl/kl*) mice demonstrated a rapidly progressive aging
52 phenotype characterized by premature organ degeneration, emphysema, and early death (22, 29,
53 30). Notably, the *kl/kl* phenotype can be rescued by *Klotho* genetic manipulation or viral delivery
54 and *Klotho* overexpression extends murine life span by 20-30% (13, 19, 30). Of the three *Klotho*
55 protein family members (α , β , and γ), α -*Klotho* (named *Klotho* herein) is the most important and
56 functions as a transmembrane protein that is predominantly produced in the kidney, choroid
57 plexus, and to a lesser degree in other organs (28, 36). The extracellular domain of *Klotho*
58 circulates as soluble *Klotho* exerting important pleiomorphic endocrine and paracrine functions
59 including anti-fibrotic, anti-inflammatory, and anti-oxidant (i.e. inducing catalase and
60 mitochondrial manganese superoxide dismutase [Mn-SOD]) effects in distant organs, including
61 the lungs (1, 18, 30, 35, 39, 40, 59). *Klotho* acts as a co-receptor for fibroblast growth factor
62 receptor (FGFR) and its ligand, fibroblast growth factor-23 (FGF23) to inhibit inorganic
63 phosphate (Pi) reabsorption and vitamin D biosynthesis (21, 27, 58). There is evidence that
64 diseases of oxidative stress (i.e. cancer, chronic kidney disease, the metabolic syndrome, etc.) are
65 associated with low renal *Klotho* expression and circulating *Klotho* levels (1, 18, 30, 46, 59) but
66 the pathophysiologic role of *Klotho* and relevance in pulmonary diseases is unknown.

67 Common lung diseases, such as chronic obstructive lung disease (COPD), idiopathic
68 pulmonary fibrosis (IPF), asbestosis, combined pulmonary fibrosis and emphysema (CPFE), and
69 others are age-related diseases associated with oxidative stress but the detailed molecular
70 mechanisms involved are not established (6, 44, 51). Convincing evidence has emerged that
71 impaired alveolar epithelial cell (AEC) injury and repair resulting from 'exaggerated' lung aging

72 and mitochondrial dysfunction are critical determinants of the lung fibrogenic potential of toxic
73 agents, including asbestos fibers (5, 6, 32, 38, 44). Genome-wide association studies (GWAS)
74 have shown a critical role for aberrant DNA repair pathways in patients with IPF (20, 44). Klotho
75 prevents murine renal epithelial cell apoptosis and fibrosis by inhibiting TGF β / Wnt signaling-
76 induced DNA damage and protects murine lungs from acute hyperoxic lung injury by inhibiting
77 A549 and primary human alveolar type I (ATI) epithelial cell DNA damage and apoptosis (39,
78 42). Furthermore, *kl/kl* mice have a lung phenotype (emphysema) and patients with COPD have
79 reduced airway Klotho expression (12, 27) but it is unclear how Klotho is protective.

80 Accumulating evidence convincingly shows that mitochondrial DNA (mtDNA) damage
81 occurs early following oxidative stress, such as from asbestos and tobacco smoke, contributing to
82 their inflammatory, fibrogenic and malignant potential (4, 6, 24, 32). Further, mtDNA is more
83 susceptible to oxidative damage than nuclear DNA due to the proximity of mtDNA to the ETC
84 (4, 24, 26). We recently reported that mice deficient in an oxidative DNA repair enzyme, 8-
85 oxoguanine DNA glycosylase (OGG1) have increased AT2 cell mtDNA damage, intrinsic
86 apoptosis, and pulmonary fibrosis following asbestos exposure (7, 25) whereas mitochondrial
87 catalase overexpressing transgenic (*MCAT*) mice, which have a prolonged life-span, are
88 protected from asbestos- and bleomycin-induced AT2 cell mitochondrial reactive oxygen species
89 (mtROS) production, mtDNA damage, apoptosis and lung fibrosis (23, 43). Aberrant
90 FGF/FGFR signaling, which is implicated in the pathobiology of lung fibrosis, may act in part
91 via effects on promoting DNA repair, including in AEC exposed to oxidative stress (3, 53, 56).
92 Klotho attenuation of DNA damage may be one mechanism accounting for its protective effects
93 against renal epithelial cell apoptosis and fibrosis (3, 17, 18, 42). However, the role of Klotho in

94 mitigating oxidant-induced AEC mtDNA damage important for promoting lung fibrosis is
95 unknown.

96 We reasoned that Klotho protects AEC exposed to oxidative stress in part by maintaining
97 mtDNA integrity and mitigating apoptosis. To address this hypothesis, we performed Klotho
98 genetic and pharmacologic over- and under-expression *in vitro* studies and assessed asbestos-
99 induced lung mtDNA damage and fibrosis in *Klotho* heterozygous hypomorphic allele (*kl/+*)
100 mice that are partially deficient in Klotho yet, unlike *kl/kl* mice, are normal sized and with
101 negligible alterations in circulating Pi or kidney function (11, 48). We report that oxidative stress
102 (asbestos or H₂O₂) reduces AEC Klotho mRNA and protein expression and that Klotho over-
103 expression attenuates oxidative stress-induced AEC mtDNA damage and apoptosis while Klotho
104 silencing augments mtDNA damage and apoptosis. We find that mice exposed to asbestos for 3
105 weeks, as compared to a negative control particle (TiO₂), have reduced serum and AT2 cell
106 Klotho levels. Interestingly, *kl/+* mice have increased asbestos-induced lung fibrosis as
107 compared to their wild-type (WT) counterparts due in part to increased AT2 cell mtDNA damage
108 and reduced lung and renal Klotho levels. A role for mitochondrial ROS in decreasing AEC
109 Klotho expression was suggested by our findings that EUK-134, a MnSOD / catalase mimetic,
110 mitigates oxidant-induced reductions in MLE-12 Klotho expression and that *MCAT* mice, as
111 compared to WT, have higher serum Klotho levels 3 weeks following asbestos exposure. We also
112 provide support for a mechanistic role for Klotho-induced AKT signaling acting down-stream of
113 Fibroblast growth factor receptor 1 (FGFR1), not Insulin-like growth factor receptor (IGFR),
114 signaling in preventing oxidant-induced AEC mtDNA damage and apoptosis. Taken together,
115 these studies implicate an important role for the Klotho/FGFR1/AKT axis in maintaining AEC
116 cell mtDNA integrity in the setting of oxidative stress that is crucial for preventing AEC

117 apoptosis and pulmonary fibrosis. Further, these studies suggest Klotho as a potentially novel
118 strategy in protecting AECs from oxidative stress as seen in asbestosis as well as other age-
119 related lung diseases (i.e. emphysema, asbestosis, CPFE, IPF, etc).

120

121 **Materials and Methods**

122 **Reagents:** Crocidolite and amosite amphibole asbestos fibers employed were Union for
123 International Cancer Control (UICC) reference standards kindly supplied by Dr. Andy Ghio
124 (U.S. Environmental Protection Agency) as characterized previously (37). EUK-134 was
125 purchased from Cayman Chemical (Ann Arbor, MI). Recombinant Klotho was purchased from
126 R&D Systems (Minneapolis, MN). All other reagents were purchased from Sigma-Aldrich (St.
127 Louis, MO) unless specified. Anti-Klotho and GAPDH antibody were purchased from Santa
128 Cruz (Biotechnology, Inc., Dallas, TX). Anti-cleaved caspase-9, phospho-AKT and total AKT
129 antibodies were purchased from Cell Signaling Technology (Danvers, MA).

130 **Cell Culture:** Murine AT2 cells were isolated as we previously described (7, 23, 25). The
131 AT2 cells obtained routinely display > 90% purity as assessed by pro-SFTPC (Millipore,
132 Temecula, CA) immunofluorescence analysis and > 95% viability via trypan blue staining. The
133 A549, MLE-12 and RLE-6TN cell lines were purchased from the American Type Culture
134 Collection (ATCC, Manassas, VA, USA). All cultured AECs were maintained in DMEM
135 (Invitrogen, Grand Island, NY) with 2mM L-glutamine supplemented with 10% fetal bovine
136 serum, penicillin (100 units/mL) and streptomycin (100 µg/mL). Cells were plated in 6-well
137 plates or 100-mm dishes and grown to confluence before adding asbestos or H₂O₂ for up to 24 h
138 as previously described (23, 25) and harvesting protein extracts for Western blotting or, in
139 separate experiments, obtaining nuclear and mitochondrial DNA for DNA fragmentation and a

140 PCR-based DNA damage assay.

141 **Western Blot:** Cell lysates were collected and immunoblotted as described (25) using
142 antibodies directed against CC-9 (Cell Signaling Technology, Danvers, MA), Klotho (Abcam,
143 Cambridge, MA), and GAPDH (Santa Cruz). The protein bands were enhanced and visualized
144 by luminol-based detection using ECL Western Blotting Detection Reagent (GE Healthcare Bio-
145 Sciences, Pittsburgh, PA) and quantified by Image J software.

146 **Gene expression analysis:** Total RNA was extracted from MLE-12 cells using TRIzol
147 (Thermo Fisher Scientific, Waltham, MA) according to the manufacturer's instructions. Real-
148 time quantitative PCR for murine Klotho (Mm.PT.58.29356714) and murine β -actin
149 (Mm.PT.39a.22214843.g) was performed using the TaqMan Expression PrimeTime Std® qPCR
150 Assay from Integrated DNA Technologies, Inc. (Coralville, IA) and performed using the
151 ABI7300 Real time PCR system (Applied Biosystems, Foster City, CA). All experiments were
152 performed according to the manufacturer's protocol.

153 **Plasmid construction for Klotho-WT expression:** Complementary DNAs encoding the
154 full length human Klotho was obtained from Addgene (Cambridge, MA). The
155 pcDNA3.1/V5/His-TOPO empty vector control was purchased from Thermo Fisher Scientific
156 (Waltham, MA). To generate cells that transiently express the full length Klotho proteins, the
157 plasmids were transfected into MLE-12 cells using Lipofectamine 2000 (Thermo Fisher
158 Scientific) according to the manufacturer's recommendations. The transfection efficiency is >
159 95%. 48 hours post-transfection, cells were subject to Western blot using anti-His antibody
160 (Abcam, Cambridge, MA) to detect overexpressed Klotho tagged with His and DNA
161 fragmentation as well as mtDNA damage.

162 **Gene silencing:** Klotho, AKT, IGFR, FGFR1-specific siRNA and scrambled (control)

163 siRNA were purchased from Integrated DNA Technologies and then transiently transfected into
164 MLE-12 cells using Lipofectamine RNAiMax (Invitrogen) according to the manufacturer's
165 recommendations. After transfection for 48 h, the cells were tested for Western blot and DNA
166 fragmentation as well as mtDNA damage.

167 ***Determination of soluble Klotho:*** Blood samples from mice were taken under anesthesia
168 with 3% isoflurane (Butler Animal Health, Dublin, OH), and the culture media from MLE-12
169 cells were collected by centrifugation. The concentration of soluble Klotho in serum, murine
170 lungs, and cell culture media was determined by Klotho ELISA kit (MyBioSource, Inc., San
171 Diego, CA) according to the manufacturer's specifications.

172 ***Quantitative PCR-based mtDNA damage assay:*** Nuclear and mtDNA damage were
173 assessed by Q-PCR as previously described (23, 25). Briefly, genomic DNA was extracted using
174 the Qiagen Genomic-Tip 20/G and Qiagen DNA Buffer Set (Qiagen, Gaithersburg, MD) per the
175 manufacturer's protocol. PCR was performed using Ex-Taq (Clontech, Mountain View, CA) with
176 specific primers to amplify a mitochondrial genomic fragment in both short and long form and
177 nuclear DNA (β -globin) as previously described (23, 25). DNA was quantified by Pico-Green
178 (Thermo Fisher Scientific) using the FL600 microplate fluorescence reader with excitation and
179 emission wavelengths of 485 nm and 530 nm, respectively. The data obtained from the
180 mitochondria small fragment were used to normalize the results of the mitochondria long
181 fragment. The number of mitochondrial lesions was calculated by the equation, $D = (1 - 2^{-(\Delta_{\text{long}} - \Delta_{\text{short}})}) \times 10,000$ (bp)/size of the long fragment (bp).

183 ***DNA fragmentation assay:*** Apoptosis was evaluated using a histone-associated DNA
184 fragmentation (mono- and oligonucleosomes) Cell Death Detection ELISA^{PLUS} kit (Sigma-
185 Aldrich) as previously described using the manufacturer's protocol (7, 25).

186 ***Asbestos-induced murine lung fibrosis:*** All the animal studies were approved by the
187 Institutional Animal Use and Care Committees (IACUC) at Northwestern University and the
188 Jesse Brown VA Medical Center. Male and female 8- to 10- week old C3H/C57Bl/6J wild-type
189 (WT), *kl/+*, and *MCAT* (C57Bl/6J background; kindly provided by Peter S. Rabinovitch and C.
190 Michael Hart (43)) mice were used for lung fibrosis studies as we have previously described (7,
191 23). Stock solutions (2 mg/mL) of crocidolite asbestos was prepared in phosphate buffered
192 saline (PBS) and 15 mM HEPES (Sigma-Aldrich) and sonicated at 40% power (Sonicator:
193 Branson, Danbury, CT) for 8 minutes to disrupt fiber clumps. Eight to ten week-old male or
194 female WT or *kl/+* mice were anesthetized with 3% isoflurane, intubated orally with a 20-gauge
195 angiocath (BD, Sandy, UT), and 100 µg of crocidolite asbestos or TiO₂ (negative control
196 particle) suspended in 50 µL sterile PBS was instilled in 2 equal aliquots given 2 minutes apart.
197 After each aliquot the mice were placed in the right and then the left decubitus position for 10-15
198 seconds.

199 ***Lung collagen detection:*** For soluble collagen measurement, the left lung was
200 homogenized with acetic acid using a polytron (Kinematica, Bohemia, NY) then a dounce
201 homogenizer and cleared by centrifugation. Equal volumes of cleared homogenate were subject
202 to the Sircol assay for soluble collagen based on a modified Picosirius Red collagen precipitation
203 assay as previously described by our group (7, 23).

204 ***Fibrosis scoring system:*** The lung fibrosis score, which is based upon the severity and
205 extent of lung fibrosis and not inflammation present in the peribronchial and interstitial tissues,
206 was assessed by one of us who is a pulmonary pathologist (AY) as previously described (7, 23).
207 Lungs were assigned a severity score from 0 (no fibrosis) to 4 (severe fibrosis) while the extent
208 of involvement was quantified on a scale of 1 (occasional alveolar duct and bronchiole

209 involvement) to 3 (more than half of the alveolar ducts and respiratory bronchioles involved).
210 The fibrosis score was calculated as the severity (0-4) multiplied by the extent (1-3).

211 **Statistical analysis:** All results are determined as the means \pm S.E.M. for three or more
212 independent experiments. A two-tailed Student's t-test was used to assess the significance of
213 differences between two groups. Statistical differences among groups were determined using
214 one-way ANOVA and Tukey's multiple comparison test using Graph-Pad Prism Software (Graph
215 Pad Prism Inc. La Jolla, CA). Probability values < 0.05 were considered significant.

216

217 **Results**

218 ***Oxidative stress depletes AEC cell Klotho protein & mRNA expression.*** To determine if
219 oxidative stress alters Klotho expression, AECs (A549, MLE-12 and RLE-6TN cells) were
220 exposed to amosite asbestos (5-25 $\mu\text{g}/\text{cm}^2$) or H_2O_2 (100-200 μM) for 24h and assessed for
221 protein and mRNA expression (**Fig 1**). We noted that oxidative stress (asbestos and H_2O_2)
222 reduced Klotho protein expression (**Fig 1A**) and secretion into the media (**Fig 1B**). Furthermore,
223 AEC Klotho mRNA levels were decreased by oxidative stress in a time-dependent manner (**Fig**
224 **1C**). These results show that oxidative stress decreases AEC Klotho protein expression, secretion
225 and mRNA expression.

226 ***Klotho attenuates oxidative stress-induced mtDNA damage and apoptosis in AECs.*** To
227 assess whether Klotho attenuates AEC mtDNA damage and apoptosis, MLE-12 cells were
228 transiently transfected with empty vector (EV) control or Klotho-WT plasmids for 48h and then
229 oxidant (amosite asbestos or H_2O_2)-induced mtDNA damage and apoptosis (measured by the
230 level of cleaved caspase-9 (CC-9) and DNA fragmentation) were assessed at 24h. Compared to
231 EV controls, Klotho-WT augmented Klotho protein expression resulting in decreased oxidant-

232 induced mtDNA damage and intrinsic apoptosis (**Fig 2A-2C**). To assess whether recombinant
233 Klotho (reKlotho) attenuates AEC mtDNA damage and apoptosis, MLE-12 cells were pretreated
234 with reKlotho for 6h and then oxidant (amosite asbestos or H₂O₂)-induced mtDNA damage and
235 apoptosis (DNA fragmentation and CC-9 expression) were assessed at 24h. Compared to
236 controls, reKlotho significantly reduced oxidant-induced mtDNA damage and apoptosis (**Fig 2D-**
237 **2F**). Similar findings were also noted in A549 cells (data not shown).

238 To assess whether Klotho deficiency augments AEC mtDNA damage, MLE-12 cells were
239 exposed to scramble or Klotho siRNA for 48h and then oxidant (amosite asbestos or H₂O₂)-
240 induced mtDNA damage and apoptosis were assessed at 24h. Compared to controls, Klotho
241 siRNA blocked Klotho protein expression, which increased mtDNA damage and apoptosis in
242 control MLE-12 cells (**Fig 3**; 0 v. 0.4 lesions per 10 kb, respectively, p< 0.05). Moreover, Klotho
243 silencing augmented AEC mtDNA damage and apoptosis in the presence of asbestos or H₂O₂,
244 although these differences in mtDNA damage (0.44±0.13, 0.65±0.10 and 0.68±0.12 lesions per
245 10kb frequency per fragment, respectively) did not reach statistical significance. Taken together,
246 both genetic and pharmacologic Klotho overexpression attenuate oxidative stress-induced AEC
247 mtDNA damage and intrinsic apoptosis while the loss of Klotho enhances oxidative stress-
248 induced AEC mtDNA damage and apoptosis.

249 *Klotho levels are decreased in the serum and AT2 cells from asbestos-exposed mice.*
250 Circulating Klotho acts in part via an endocrine effect (1, 18, 30, 46, 59). To test whether
251 circulating Klotho is reduced during lung fibrosis, we measured the level of soluble Klotho in
252 mice using the asbestos model of lung fibrosis as we previously described (7, 23). As compared
253 to TiO₂, crocidolite asbestos-exposed WT mice had reduced serum Klotho levels at 3 weeks, a
254 time point where there is significant lung fibrosis and AT2 cell mtDNA damage and apoptosis

255 (Fig 4A). Notably, AT2 cells from asbestos-exposed mice also had decreased Klotho levels by
256 ~40% as compared to TiO₂, the negative control particle (Fig 4B). As an alternative *in vitro*
257 approach, we isolated primary AT2 cells from untreated mice and exposed them to oxidative
258 stress using amosite asbestos (25 µg/cm²) or H₂O₂ (200 µM) for 24h. Consistent with our *in vivo*
259 data, both asbestos and H₂O₂ reduced Klotho expression levels (Fig 4C). Taken together, these
260 data show that oxidative stress decreases circulating Klotho levels in the serum as well as AT2
261 cells.

262 *Asbestos-induced pulmonary fibrosis is augmented in kl/+mice as compared with WT*
263 *mice.* Due to the small size of the homozygous *kl/kl* mice, we studied asbestos-induced
264 pulmonary fibrosis using *kl/+* mice which are of normal size yet with >50% reduction in Klotho
265 expression compared to WT but with insignificant alterations of serum Pi or kidney function (11,
266 48). To assess whether Klotho deficiency augments asbestos-induced pulmonary fibrosis, we
267 compared WT and *kl/+* mice 3 weeks following a single IT instillation of TiO₂ (100 µg/50 µl) or
268 crocidolite amphibole asbestos (100 µg/50 µl). Compared to TiO₂, crocidolite significantly
269 augmented pulmonary fibrosis in WT mice as expected as assessed by histology, fibrosis scoring,
270 and the Sircol assay for lung collagen (Fig 5A-C). Notably, asbestos-induced lung fibrosis was
271 further significantly increased in *kl/+* mice as assessed by histopathology, lung fibrosis scoring
272 and lung collagen levels (Fig 5A-C). Additionally, to directly test whether *kl/+* increases
273 asbestos-induced AT2 cell mtDNA damage, we exposed WT and *kl/+* mice to IT-instilled TiO₂ or
274 crocidolite asbestos for 3 weeks and then evaluated mtDNA damage in primary isolated AT2
275 cells. As expected, AT2 cells from WT mice had increased mtDNA damage following exposure
276 to crocidolite asbestos as compared to TiO₂ (Fig 5D). Interestingly, AT2 cells isolated from *kl/+*
277 mice exposed to our inert control (TiO₂) had increased mtDNA damage, which was amplified

278 following asbestos treatment (**Fig 5D**). Together, these findings show that asbestos-induced lung
279 fibrosis and mtDNA damage is significantly augmented in asbestos-exposed *kl/+* mice as
280 compared to their WT counterparts.

281 *Mitochondrial catalase and EUK-134 diminish the depletion of Klotho exposed to*
282 *oxidative stress.* We recently reported that asbestos-induced lung fibrosis is attenuated in *MCAT*
283 mice in association with reduced AT2 cell mtROS production, mtDNA damage and apoptosis
284 (23). To determine whether the reductions in soluble Klotho levels that occur in the setting of
285 asbestos-induced lung fibrosis are dependent on mtROS, we measured the circulating Klotho
286 level in serum from WT and *MCAT* mice 3 weeks following a single IT instillation of TiO₂ or
287 crocidolite asbestos. In accord with our findings in Fig 4A, serum Klotho levels were decreased
288 in crocidolite-exposed WT mice as compared to TiO₂ (**Fig 6A**). Notably, serum Klotho levels
289 from asbestos-exposed *MCAT* mice was negligibly reduced as compared to TiO₂-treated WT
290 mice (**Fig 6A**), suggesting a critical role for mtROS in reducing circulating Klotho. To further
291 address the role of mtROS, we explored whether EUK-134, an MnSOD / catalase mimetic
292 known to reduce asbestos-induced mtROS and mtDNA damage (23, 45) attenuates oxidative
293 stress-induced reductions in Klotho expression. MLE-12 cells were pretreated with EUK-134 (20
294 μM) for 6h and then Klotho expression following amosite asbestos- or H₂O₂-induced oxidative
295 stress was assessed at 24h. Compared to controls, EUK-134 mitigated oxidant-induced decreases
296 in MLE-12 cell Klotho protein expression following exposure to either asbestos or H₂O₂ (**Fig**
297 **6B**) and soluble Klotho as measured via ELISA (**Fig 6C**). Collectively, these findings along with
298 our previous studies suggest that mtROS are crucial for promoting the reduction in asbestos-
299 induced AEC Klotho expression that can promote AEC mtDNA damage and apoptosis that are
300 important for augmenting lung fibrosis.

301 *AKT is important in the protective effects of Klotho against oxidative stress-induced*
302 *AEC mtDNA damage.* Given that the neuroprotective effects of Klotho are mediated via AKT
303 (60) and that asbestos-induced AEC apoptosis is associated with reduced AKT activation (2), we
304 reasoned that the AEC protective effects of Klotho are dependent upon AKT activation. To
305 determine whether AKT or another signaling pathway primarily mediates Klotho's AEC mtDNA
306 protective effects in the setting of oxidative stress, MLE-12 cells were pre-incubated with an
307 AKT inhibitor (GSK690693, 10 μ M), ERK inhibitor (U0125, 10 μ M), JNK inhibitor (SP600125,
308 5 μ M) or p38 MAPK inhibitor (SB203580, 5 μ M) for 6h and exposed to amosite asbestos (25
309 μ g/cm²) or H₂O₂ (200 μ M) for 24h. Compared to DMSO controls (0.01%), an AKT inhibitor
310 augmented asbestos-induced mtDNA damage (**Fig 7A**) while the other inhibitors had negligible
311 effects (**Fig 7A**). Consistent with others working with A549 cells (2), we found that asbestos
312 reduced MLE-12 cell AKT activation as assessed by phosphorylated AKT expression (**Fig 7B**).
313 To further explore the effects of inhibiting AKT signaling on AEC mtDNA damage in the setting
314 of oxidative stress, MLE-12 cells were transfected with scramble or AKT-targeted siRNA for
315 48h before being exposed to asbestos (25 μ g/cm²) or H₂O₂ (200 μ M) for 24h in the absence or
316 presence of reKlotho and then mtDNA damage was assessed. Similar to an AKT pharmacologic
317 inhibitor, silencing of AKT augmented mtDNA lesions under untreated conditions as compared
318 to scramble controls and mtDNA damage was further augmented after exposure to asbestos or
319 H₂O₂ (**Fig 7C**). Notably, AKT silencing completely blocked the protective effects of re-Klotho
320 against oxidant-induced MLE-12 cell mtDNA damage (**Fig 7D**). Taken together, our findings
321 firmly support that the mechanism by which Klotho prevents oxidant-induced AEC mtDNA
322 damage requires AKT activation.

323 *FGFR, but not IGFR, is necessary for the protective effects of Klotho to oxidative stres-*

324 ***induced mtDNA damage in AECs.*** Although unclear in the lungs, there is evidence that Klotho
325 activates AKT via upstream FGFR1 or IGFR signaling in neuronal and cardiac cells (3, 8, 15,
326 50, 55, 60). To determine which receptor-mediated signaling pathway mediates the protective
327 effect of Klotho in AEC, we transfected MLE-12 cells with Scramble control, FGFR1- or IGFR-
328 specific siRNA for 48h before being exposed to asbestos (25 $\mu\text{g}/\text{cm}^2$) or H_2O_2 (200 μM) for 24h
329 in the absence or presence of reKlotho and then mtDNA damage was assessed. Compared to
330 scramble controls, cells treated with FGFR1 or IGFR siRNA had increased mtDNA damage
331 under basal conditions and these effects were further enhanced after exposure to asbestos or
332 H_2O_2 (**Fig. 7E**). Importantly, FGFR1 silencing completely blocked the protective effects of
333 reKlotho against oxidant-induced AEC mtDNA damage while IGFR-silencing had negligible
334 effects (**Fig. 7E**). Collectively, these data firmly support that the protective effects of Klotho
335 against oxidant-induced AEC mtDNA damage are mediated via FGFR1 and not IGFR signaling.

336

337 **Discussion**

338 Accumulating evidence suggests that AEC injury, in part from mtDNA damage, is a key
339 target that integrates both pro-aging and cell survival / death signaling following exposure to
340 fibrogenic agents, such as asbestos (see for Reviews: (6, 24, 44, 51). In this study, we identify a
341 crucial role for the Klotho-FGFR-AKT axis in preventing oxidative stress-induced AEC mtDNA
342 damage. We demonstrate that oxidative stress (asbestos or H_2O_2), a key mediator underlying the
343 aging process in IPF, asbestosis, and other age-related lung diseases (6, 24, 44, 51), decreases
344 Klotho levels in AEC as well as in the serum of asbestos-exposed mice. We show that Klotho
345 over-expression, using genetic or pharmacologic approaches, attenuates oxidant-induced AEC
346 mtDNA damage and intrinsic apoptosis while AEC Klotho depletion using siRNA *in vitro* or *in*

347 *in vivo* using *Kl/+* mice enhances oxidant-induced mtDNA damage and asbestos-induced lung
348 fibrosis. A role for mtROS mediating the reductions in Klotho is suggested by our findings that
349 serum Klotho levels are preserved in asbestos-exposed *MCAT* as compared to WT mice and that
350 EUK-134 preserves AEC Klotho expression *in vitro*. Finally, we provide evidence that the
351 mechanism by which Klotho mitigates oxidant-induced AEC mtDNA damage is mediated by
352 AKT signaling via the FGFR pathway.

353 A major finding in this study is that oxidative stress from either amphibole (amosite or
354 crocidolite) asbestos fibers (exogenous source) or H₂O₂ (endogenous source) reduces AEC
355 Klotho protein and mRNA expression *in vitro* in a variety of AEC types (A549, MLE-12 and
356 RLE-6TN; **Fig 1**) as well as *in vivo* in the serum of asbestos-exposed WT mice and supernatant
357 of primary isolated AT2 cells (**Fig 4**). Klotho, which is an anti-aging protein primarily made in
358 the kidney and to a lesser degree in other organs, exerts important hormonal functions including
359 anti-fibrotic, anti-inflammatory and anti-oxidant effects in distant organs, including the lungs (1,
360 18, 21, 28, 30, 35, 39, 40, 59). Our data showing that asbestos exposure reduces serum Klotho
361 levels adds to the growing evidence that serum Klotho levels are reduced in diseases of oxidative
362 stress such as cancer and chronic kidney disease (16, 46).

363 Several lines of evidence in this study firmly implicate that Klotho has a key role in
364 maintaining AEC mtDNA integrity in the setting of oxidative stress. First, Klotho over-
365 expression studies using transient transfection of a plasmid expressing Klotho as well as re-
366 Klotho both decreased oxidative stress (amosite asbestos and H₂O₂)-induced AEC mtDNA
367 damage and apoptosis as assessed by DNA fragmentation and CC-9 activation (**Fig 2**). Second,
368 Klotho silencing studies using Klotho siRNA augmented AEC mtDNA damage at baseline as
369 compared to scramble controls and oxidative stress from asbestos or H₂O₂ further enhanced these

370 deleterious effects (**Fig 3**). Finally, to address the *in vivo* relevance of our *in vitro* findings that
371 Klotho deficiency promotes AEC mtDNA damage, we used the asbestos-induced model of lung
372 fibrosis that we have previously shown is associated with increased AEC mtDNA damage and
373 apoptosis (7, 23). Because *kl/kl* mice that are completely devoid of Klotho were not feasible to
374 study as they die at a young age and are too small for asbestos IT-instillations, we utilized the
375 *kl/+* heterozygotes that have a 50% reduction in Klotho serum levels yet have a normal size,
376 renal function, and negligible alterations of serum Pi levels (11, 48). Interestingly, we found that
377 the *kl/+* mice, as compared to WT, are more susceptible to asbestos-induced pulmonary fibrosis
378 as assessed by histology and lung fibrosis score and collagen production (**Fig. 5A-C**). Notably,
379 as compared to WT, primary isolated AT2 cells from *kl/+* mice have increased mtDNA damage
380 at baseline 3 weeks following exposure to an inert particle TiO₂ and this is further augmented by
381 crocidolite asbestos, which we have shown reproducibly causes lung fibrosis (**Fig 5D**) (7, 23).
382 Our data showing that lung fibrosis in *kl/+* mice exposed to asbestos are only modestly worse
383 than WT mice suggests that the 50% reduction in serum Klotho levels may be sufficient to
384 augment fibrotic signaling. Although the mechanism accounting for this finding is not fully
385 established, our data suggests that this is due in part by the increased AEC mtDNA damage noted
386 in *kl/+* mice, which concurs with our findings implicating AEC mtDNA integrity in other
387 transgenic mouse lung fibrosis models including *Ogg1*-deficient and *MCAT* mice (7, 23). Our
388 data are in accord with studies showing that Klotho prevents oxidant (H₂O₂, hyperoxia)-induced
389 murine renal epithelial, A549, and primary human AT1 cell DNA damage and apoptosis (39, 42,
390 47) and extend these studies by implicating mtDNA as a key Klotho target. Taken together, these
391 findings support a novel role for Klotho in maintaining AEC mtDNA integrity. Although the
392 importance of Klotho for maintaining normal lungs under control conditions is established by the

393 finding of emphysema in *kl/kl* mice maintained in a sterile environment (10, 22, 29), further
394 studies will be of interest ascertaining the molecular mechanisms by which Klotho modulates
395 AEC mtDNA damage following exposure to environmental insults (i.e. asbestos, tobacco, etc)
396 that promote emphysema with and without lung fibrosis (i.e. COPD, IPF, CPFE, asbestosis).

397 The mechanism underlying the protective effects of Klotho against oxidative stress-
398 induced AEC mtDNA damage is not entirely established but we explored several possibilities.
399 First, a role for mtROS is suggested by two lines of evidence: (1) compared to WT mice,
400 circulating Klotho levels are preserved in asbestos-exposed *MCAT* mice, which we previously
401 showed are protected from asbestos-induced AEC mtROS production, mtDNA damage,
402 apoptosis, and lung fibrosis (**Fig 6A**; (23) and (2) EUK-134, a MnSOD/catalase mimetic that we
403 have reported reduces mtROS production (45), mitigates oxidant-induced reductions in Klotho
404 expression (**Fig 6B and C**). We reason that our data implicating mtROS in reducing serum
405 Klotho expression in the setting of environmental stress (i.e. asbestos) may in part account for
406 the extended life-span of *MCAT* mice (43). Further studies determining the precise mechanism
407 by which mtROS reduce Klotho expression will be of interest given that mtDNA damage arising
408 from mtROS or altered mtDNA repair processes have been prominently implicated in the aging
409 process (9, 24, 49, 52). Klotho upregulates endogenous antioxidant defenses, including SOD2,
410 which are vital in mitigating apoptosis, cellular senescence and oxidative injury suggesting an
411 important feedback loop between Klotho and oxidative stress (47, 58).

412 Second, our studies identify activated AKT as the critical kinase signaling pathway
413 mediating the protective effects of Klotho against AEC mtDNA damage. This conclusion is
414 supported by our observations that AKT inhibition using either a pharmacologic agent or
415 silencing of AKT using siRNA each augmented oxidant-induced AEC mtDNA damage (**Fig. 7A**

416 **and 7C**). In contrast, pharmacologic inhibition of ERK, JNK, or p38 had negligible effects of
417 AEC mtDNA damage at baseline as well as following oxidative stress (**Fig 7A**). Interestingly,
418 AKT silencing completely blocked the protective effects of reKlotho against oxidative stress-
419 induced AEC mtDNA damage, indicating that AKT is essential for mediating the beneficial
420 effects of Klotho on mtDNA integrity. It is possible that Klotho's AKT-dependent beneficial
421 effects noted herein are unique to epithelial cells since AKT signaling has the paradoxical effect
422 of promoting cell survival or death depending upon the cell type. For example, pathogenic
423 activation of AKT is evident in fibroblasts and macrophages isolated in a murine model of
424 bleomycin-induced lung fibrosis or in fibroblasts of IPF patients (31, 33, 57). In contrast,
425 PI3K/AKT signaling is required to attenuate oxidant-induced cell injury in lung epithelial, retinal
426 pigment epithelial, and neuronal cell injury, including asbestos-induced AEC apoptosis (2, 34,
427 41, 54). AKT deficiency promotes oxidative DNA damage by decreasing DNA repair in renal
428 cells (14). Those data are in accord with our finding that silencing of AKT augments MLE-12
429 cell mtDNA damage and that asbestos augments these effects (**Fig. 7C**).

430 Third, we showed that FGFR1, not IGFR, upstream signaling is responsible for mediating
431 Klotho-dependent AKT activation that limits oxidative stress-induced AEC mtDNA damage.
432 This is supported by our data showing that the protective effect of reKlotho in mitigating
433 oxidative stress-induced mtDNA damage was abolished following silencing of FGFR1 but not by
434 silencing IGFR (**Fig. 7E**). Our findings are in accord with studies showing that FGFR1-
435 dependent mechanisms mediate Klotho's protective effects against renal epithelial cell apoptosis
436 and fibrosis in part by inhibiting DNA damage and activating TGF β / Wnt signaling (3, 18, 40,
437 42) as well as our previous studies showing that FGF7 (KGF) and FGF10 reduce AEC injury by
438 augmenting DNA repair (53, 56). Further, our findings concur with studies showing that AKT

439 signaling via FGFR1 is crucial for mediating the neuroprotective effect of Klotho (60). Although
440 IGFR-AKT signaling appears important for aging-associated diseases, including heart failure
441 (15, 50) and breast cancer (55), our data do not support a role for IGFR-AKT signaling in
442 mediating the protective effects of Klotho against oxidative stress-induced AEC mtDNA damage.
443 Collectively, this suggests that the protective effect of Klotho is mediated via the FGFR1-AKT
444 pathway.

445 In summary, we demonstrate a novel role for the Klotho-FGFR1-AKT axis in attenuating
446 oxidant-induced AEC mtDNA damage and that, compared to WT, *kl/+* mice are more prone to
447 asbestos-induced AEC mtDNA damage as well as lung fibrosis. A crucial role for Klotho in
448 preserving AEC mtDNA integrity is supported by our findings that include: (i) oxidative stress
449 (asbestos or H₂O₂) reduces AEC Klotho levels *in vitro* as well as *in vivo* in the serum of asbestos-
450 exposed mice; (ii) Klotho over- and under-expression studies showing a direct relationship
451 between Klotho levels and AEC mtDNA integrity; and (iii) FGFR1 and AKT silencing block the
452 protective effects of Klotho against oxidative stress-induced AEC mtDNA damage. We present
453 evidence for a role of mtROS in mediating the reductions in Klotho but further studies will be
454 necessary to define the precise molecular pathways involved. A hypothetical model depicting the
455 major findings noted in this study is shown in **Figure 7F**. Oxidative stress following asbestos
456 exposure and other environmental toxins can decrease Klotho expression that renders AEC
457 susceptible to oxidant-induced mtDNA damage and intrinsic apoptosis important in the
458 pathogenesis of lung fibrosis. Further studies will be important for characterizing the detailed
459 molecular mechanisms involved as well as the relevance in human diseases and other markers
460 for IPF (e.g., TGFβ1, ECM, or MMPs). We reason that Klotho deficiency resulting in aberrant
461 FGFR1/AKT signaling in the pathobiology of lung fibrosis represents a novel therapeutic target

462 for preventing common lung diseases of aging including COPD, IPF, asbestosis, CPFE, and
463 others.

464 **Figure legends**

465 **Fig.1. Oxidative stress depletes Klotho expression in several types of AECs.**

466 (A) AEC Klotho protein expression following amosite asbestos ($5-25 \mu\text{g}/\text{cm}^2$) or H_2O_2 (100-200
467 μM) exposure for 24h. GAPDH is a loading control. A densitometric analysis using GAPDH as a
468 loading control from 3 experiments is shown. (B) Secreted AEC Klotho level following asbestos
469 or H_2O_2 exposure for 24h. (C) A549 and MLE-12 cell mRNA expression for Klotho following
470 asbestos exposure as assessed by Q-PCR. The values are represented as mean \pm SEM. (* $P < 0.05$
471 v. Control; $n=3$).

472 **Fig. 2. Klotho overexpression and recombinant Klotho prevent MLE-12 cell mtDNA**
473 **damage and intrinsic apoptosis exposure to asbestos or H_2O_2 .**

474 Klotho overexpression (A-C) and recombinant Klotho (D-F) blocks oxidative stress-induced
475 cleaved caspase-9, mtDNA damage and intrinsic apoptosis caused by amosite asbestos (25
476 $\mu\text{g}/\text{cm}^2$) or H_2O_2 (200 μM) for 24 h in MLE-12 cells. A, Klotho overexpression is measured by
477 anti-His antibody. Cleaved-caspase-9 in total cell lysate was assessed by Western blotting
478 showing representative figure. A densitometric analysis using GAPDH as a loading control from
479 3 experiments is shown. B, mtDNA damage was performed by Q-PCR based measurement using
480 isolated whole genomic DNA from each condition. C, Intrinsic apoptosis was detected by ELISA
481 assay using Cell death detection kit. The values are represented as mean \pm SEM. (* $P < 0.05$ v.
482 Control, + $P < 0.05$ v. empty vector or PBS vehicle ASB/ H_2O_2 ; $n=3$).

483 **Fig.3. Klotho Deficiency Augments Asbestos-Induced AEC mtDNA Damage and intrinsic**
484 **apoptosis.**

485 Control siRNA or Klotho siRNA was transfected to MLE-12 cells for 48 h , and were exposed to
486 amosite asbestos ($25 \mu\text{g}/\text{cm}^2$) or H_2O_2 (200 μM) for 24 hours. Cleaved-caspase-9 in total cell
487 lysate as well as Klotho expression were assessed by Western blotting showing representative
488 figures and a densitometric analysis using GAPDH as a loading control (A), and mtDNA damage
489 was performed by Q-PCR based measurement using isolated whole genomic DNA from each
490 condition (B). Intrinsic apoptosis was detected by ELISA assay using Cell death detection kit
491 (C). The values are represented as mean \pm SEM. (* $P < 0.05$ v. Control, + $P < 0.05$ v. Scramble;
492 $n=3$).

493 **Fig 4. Asbestos Reduces Serum and AT2 Cell Klotho Levels as Compared to TiO₂ in WT**
494 **Mice.**

495 Serum (A) and primary AT2 cells (B) were isolated from WT mice at three weeks after
496 intratracheal instillation with TiO₂ or crocidolite asbestos. (C) Primary AT2 cells were isolated
497 from WT mice, then exposed to amosite asbestos or H₂O₂. ELISA was performed to detect
498 Klotho level at each condition. n = 3 mice per group. Data are presented as means ± SEM
499 (*p<0.05 vs. TiO₂ or Control).

500 **Fig 5. *kl/+* mice exposed to asbestos presents the increase of lung fibrosis and AT2 cell**
501 **mtDNA damage compared to WT.**

502 After intratracheal instillation with TiO₂ or crocidolite asbestos for three weeks, serial lung
503 sections from age-matched WT and *kl/+* mice were subject to Masson's trichrome stain (A), lung
504 fibrosis scores (B) and lung collagen levels (C). (A) Representative histology is shown from 4 to
505 9 mice in WT and *kl/+* mice after treatment. (B) The fibrosis score = (severity: 0–4)×(extent: 1–
506 3). *p<0.05 vs. TiO₂, +p<0.05 vs. WT+crocidolite asbestos, n =3-4. (C) Lung collagen levels as
507 assessed by Sircol assay. *p<0.05 vs. TiO₂, +p<0.05 vs. WT+crocidolite asbestos. n= 3-9. D, and
508 mtDNA damage were assessed by a fluorescent-based PCR mtDNA damage assay using
509 genomic DNA of primary AT2 cells isolated from the lungs of WT and *kl/+* mice three weeks
510 after IT instillation of TiO₂ or crocidolite asbestos. Graph express as the ratio of lesion frequency
511 per fragment as compared to WT AT2 cells exposed to control – TiO₂). *p < 0.05 vs. TiO₂, +P <
512 0.05 vs. WT + crocidolite asbestos, #p<0.05 vs. *kl/+* mice+crocidolite asbestos, n = 3.

513 **Fig 6. Mitochondrial catalase and its mimetic, EUK-134, protects oxidanat-induced Klotho**
514 **reduction in serum from WT and MCAT mice as well as MLE-12 cells.**

515 (A) Serum were collected from WT and *MCAT* mice at three weeks after intratracheal instillation
516 with TiO₂ or crocidolite asbestos, and Klotho level was measured by ELISA. B and C, confluent
517 MLE-12 cells were incubated with EUK-134 (20 μM) for 3 hours, and then were exposed to
518 amosite asbestos (25 μg/cm²) or H₂O₂ (200 μM) for 24 hours. Cell lysate from each group were
519 shown to Klotho level performed with Western blotting showing representative image with the
520 densitometric analysis of Klotho/GAPDH relative to untreated control (B) and ELISA (C). n = 3
521 mice or MLE-12 cells per group. Data are presented as means ± SEM (*p<0.05 vs. WT+TiO₂ or

522 PBS+Control).

523 **Fig 7. The Klotho/FGFR/AKT axis regulates oxidative stress-induced AEC mtDNA damage**
524 **and apoptosis.**

525 (A), Effect of signaling pathway inhibitors on oxidant-induced AEC mtDNA damage. MLE-12
526 cells were pre-incubated with an AKT inhibitor (GSK690693, 10 μ M), ERK inhibitor (U0125,
527 10 μ M), JNK inhibitor (SP600125, 5 μ M) or p38 MAPK inhibitor (SB203580, 5 μ M) for 6h, and
528 exposed to amosite asbestos (25 μ g/cm²) or H₂O₂ (200 μ M) for 24h. mtDNA damage was
529 performed by Q-PCR based measurement. (*p<0.05 vs. DMSO) (B) Phospho-AKT is inhibited
530 in asbestos-exposed MLE-12 cells. MLE-12 cells were exposed to amosite asbestos (5 or 25
531 μ g/cm²) for 1h or 3h. Cell extracts were isolated and phospho-AKT level was evaluated by
532 Western Blotting showing representative image and semi-quantitative analyzed graph. (*P<0.05
533 v. Control, n=3) (C and D) AKT1 silencing increases mtDNA damage and blocks the protective
534 effects of recombinant Klotho in oxidant-induced MLE-12 cells. Cells were transfected with
535 siRNA targeted to AKT1, and then recombinant Klotho was preincubated for 3h and exposed to
536 amosite asbestos (25 μ g/cm²) or H₂O₂ (200 μ M) for 24h. mtDNA damage was performed by Q-
537 PCR based measurement using isolated whole genomic DNA from each condition. The values
538 are represented as mean \pm SEM. (*P<0.05 v. Scr/Control, +P<0.05 siAKT v. siAKT+reKlotho;
539 n=3) (E) FGFR1, but not IGFR, silencing blocks reKlotho's protective effects against oxidant-
540 induced AEC mtDNA damage. MLE-12 cells were transfected with siRNA targeted to IGFR or
541 FGFR1, and then recombinant Klotho was preincubated for 3h and exposed to amosite asbestos
542 (25 μ g/cm²) or H₂O₂ (200 μ M) for 24h. mtDNA damage was performed by Q-PCR based
543 measurement using isolated whole genomic DNA from each condition. The values are
544 represented as mean \pm SEM. (*P<0.05 v. Scr/Control, +P<0.05 siIGFR v. siIGFR+reKlotho;
545 n=3). (F) Hypothetical model by which Klotho prevents oxidant-induced AEC mtDNA damage,
546 apoptosis, and lung fibrosis.

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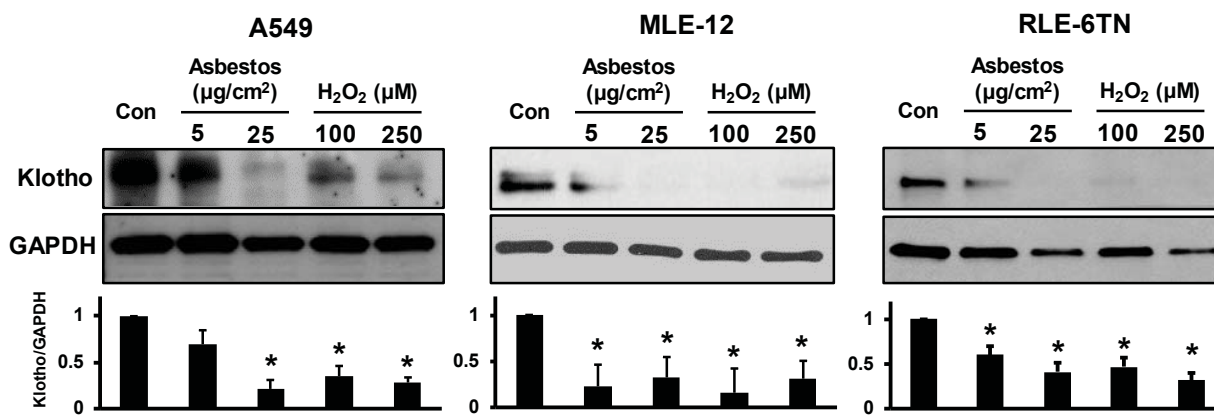
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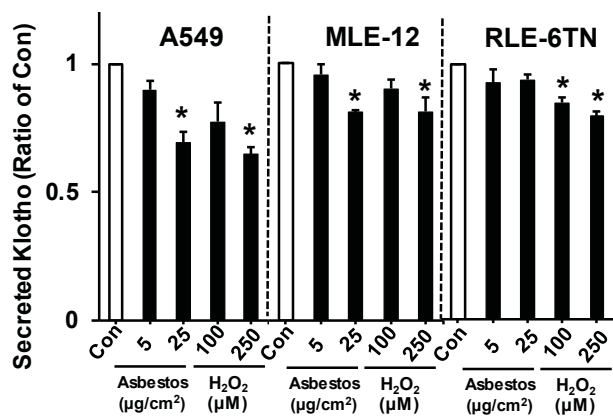
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722

Figure 1

A)



B)



C)

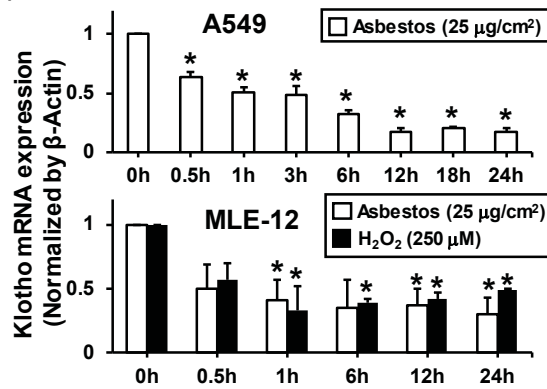


Figure 2

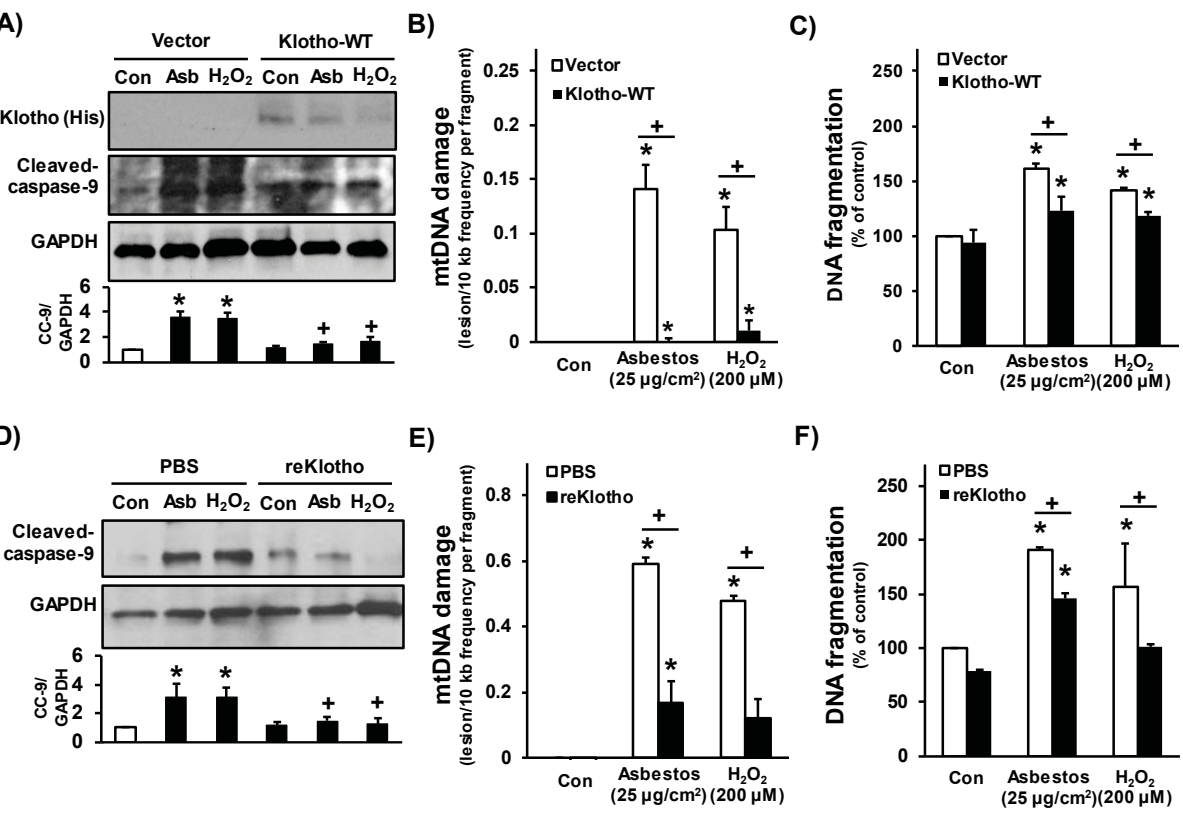


Figure 3

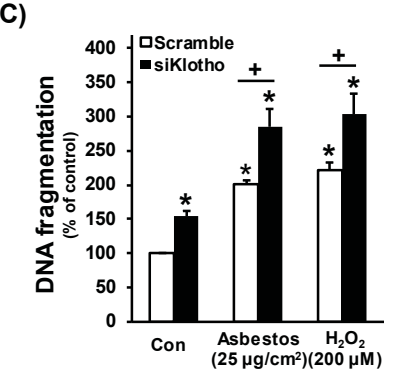
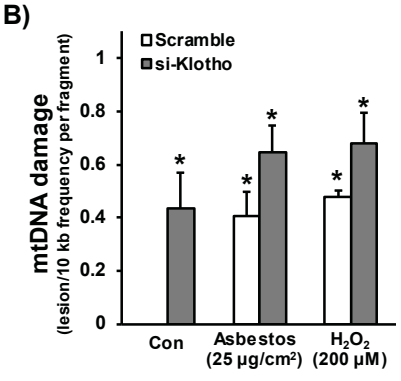
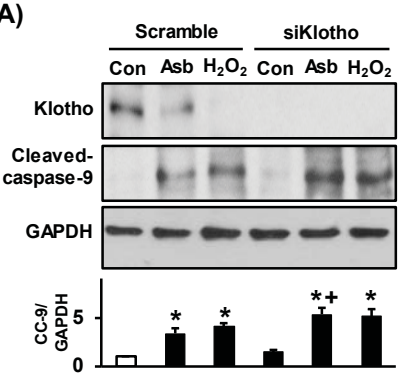


Figure 4

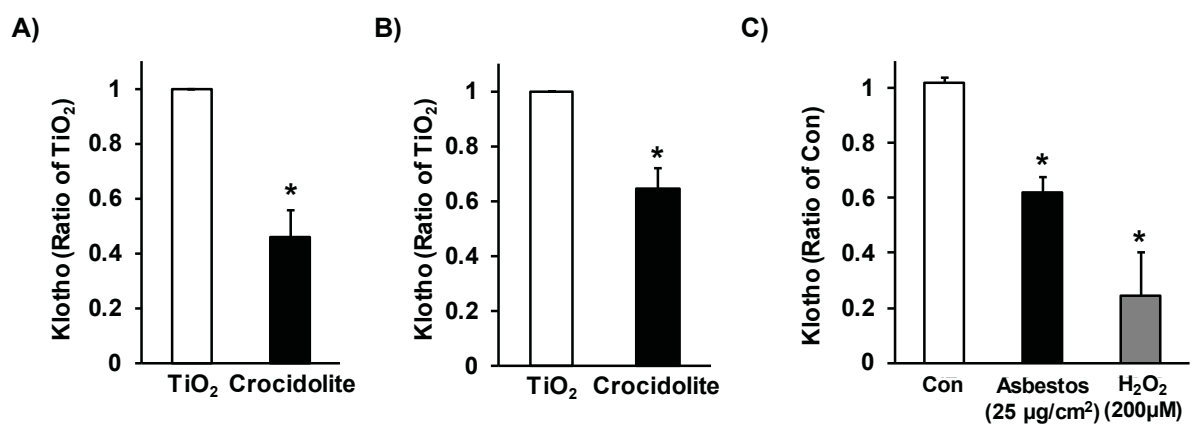


Figure 5

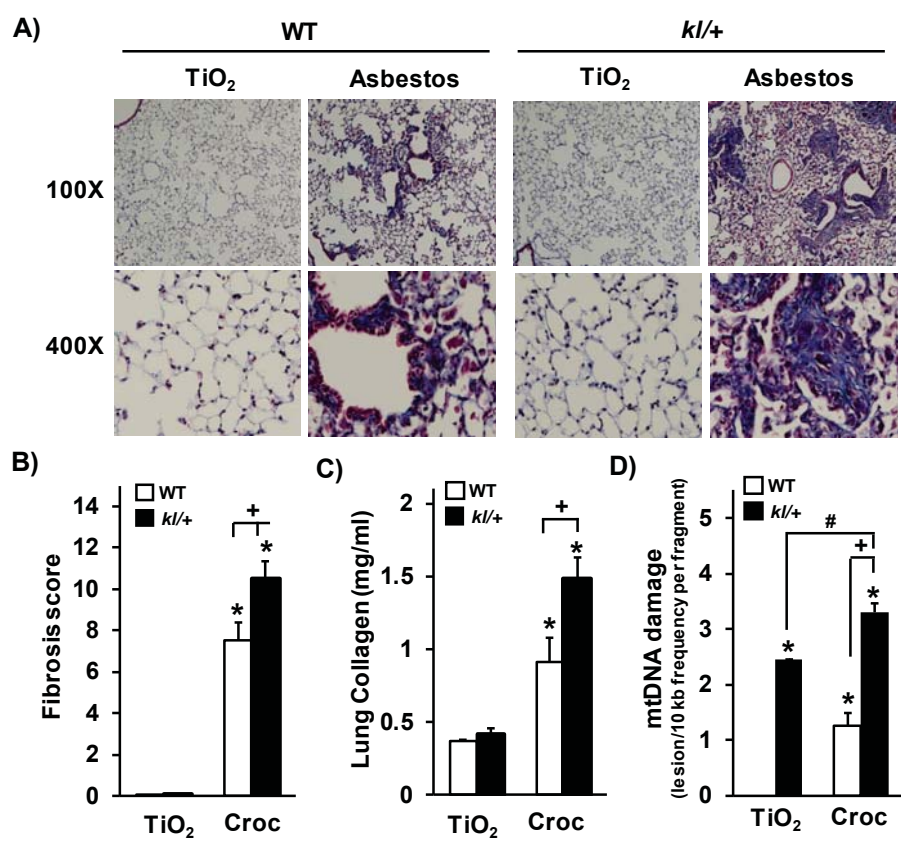


Figure 6

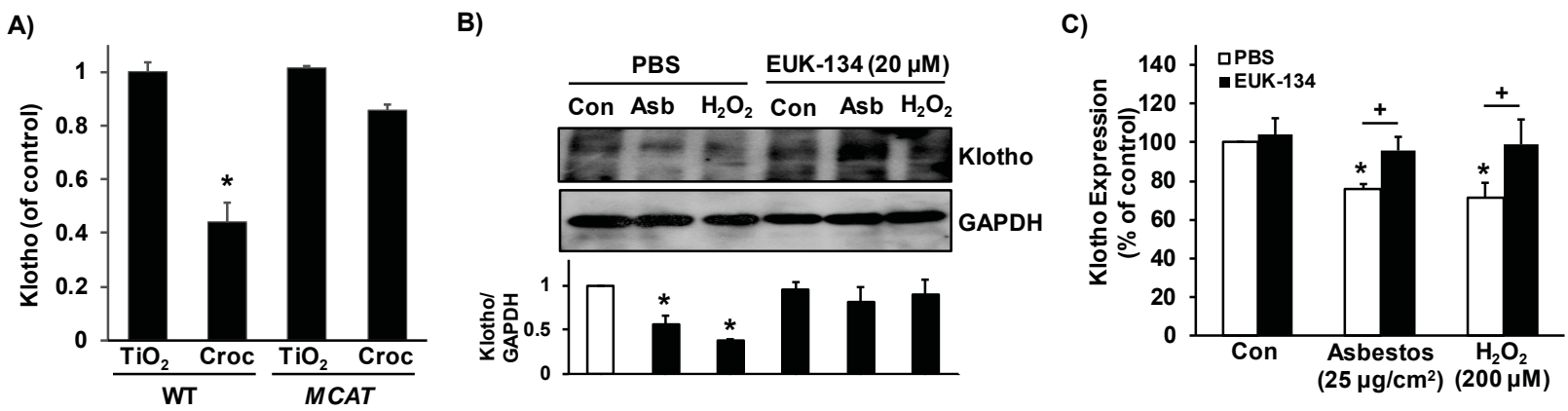


Figure 7

